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## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 80398.P160

Total Pages 2

First Named Inventor or Application Identifier Tadamasa Kitsukawa

Express Mail Label No. EL034160695US

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D. C. 20231

### APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. X Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. X Specification (Total Pages 58)  
(preferred arrangement set forth below)
  - Descriptive Title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claims
  - Abstract of the Disclosure
3. X Drawings(s) (35 USC 113) (Total Sheets 11)
4. X Oath or Declaration (Total Pages 5) (unsigned)
  - a.      Newly Executed (Original or Copy)
  - b.      Copy from a Prior Application (37 CFR 1.63(d))  
(for Continuation/Divisional with Box 17 completed) (**Note Box 5 below**)
  - i.      DELETIONS OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5.      Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6.      Microfiche Computer Program (Appendix)
7.      Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a.      Computer Readable Copy
  - b.      Paper Copy (identical to computer copy)
  - c.      Statement verifying identity of above copies

1944. 1945. 1946. 1947. 1948. 1949. 1950. 1951. 1952. 1953. 1954. 1955. 1956. 1957. 1958. 1959. 1960. 1961. 1962. 1963. 1964. 1965. 1966. 1967. 1968. 1969. 1970. 1971. 1972. 1973. 1974. 1975. 1976. 1977. 1978. 1979. 1980. 1981. 1982. 1983. 1984. 1985. 1986. 1987. 1988. 1989. 1990. 1991. 1992. 1993. 1994. 1995. 1996. 1997. 1998. 1999. 2000. 2001. 2002. 2003. 2004. 2005. 2006. 2007. 2008. 2009. 2010. 2011. 2012. 2013. 2014. 2015. 2016. 2017. 2018. 2019. 2020. 2021. 2022. 2023. 2024. 2025. 2026. 2027. 2028. 2029. 2030. 2031. 2032. 2033. 2034. 2035. 2036. 2037. 2038. 2039. 2040. 2041. 2042. 2043. 2044. 2045. 2046. 2047. 2048. 2049. 2050. 2051. 2052. 2053. 2054. 2055. 2056. 2057. 2058. 2059. 2060. 2061. 2062. 2063. 2064. 2065. 2066. 2067. 2068. 2069. 2070. 2071. 2072. 2073. 2074. 2075. 2076. 2077. 2078. 2079. 2080. 2081. 2082. 2083. 2084. 2085. 2086. 2087. 2088. 2089. 2090. 2091. 2092. 2093. 2094. 2095. 2096. 2097. 2098. 2099. 2100. 2101. 2102. 2103. 2104. 2105. 2106. 2107. 2108. 2109. 2110. 2111. 2112. 2113. 2114. 2115. 2116. 2117. 2118. 2119. 2120. 2121. 2122. 2123. 2124. 2125. 2126. 2127. 2128. 2129. 2130. 2131. 2132. 2133. 2134. 2135. 2136. 2137. 2138. 2139. 2140. 2141. 2142. 2143. 2144. 2145. 2146. 2147. 2148. 2149. 2150. 2151. 2152. 2153. 2154. 2155. 2156. 2157. 2158. 2159. 2160. 2161. 2162. 2163. 2164. 2165. 2166. 2167. 2168. 2169. 2170. 2171. 2172. 2173. 2174. 2175. 2176. 2177. 2178. 2179. 2180. 2181. 2182. 2183. 2184. 2185. 2186. 2187. 2188. 2189. 2190. 2191. 2192. 2193. 2194. 2195. 2196. 2197. 2198. 2199. 2200. 2201. 2202. 2203. 2204. 2205. 2206. 2207. 2208. 2209. 2210. 2211. 2212. 2213. 2214. 2215. 2216. 2217. 2218. 2219. 2220. 2221. 2222. 2223. 2224. 2225. 2226. 2227. 2228. 2229. 2230. 2231. 2232. 2233. 2234. 2235. 2236. 2237. 2238. 2239. 2240. 2241. 2242. 2243. 2244. 2245. 2246. 2247. 2248. 2249. 2250. 2251. 2252. 2253. 2254. 2255. 2256. 2257. 2258. 2259. 2260. 2261. 2262. 2263. 2264. 2265. 2266. 2267. 2268. 2269. 2270. 2271. 2272. 2273. 2274. 2275. 2276. 2277. 2278. 2279. 2280. 2281. 2282. 2283. 2284. 2285. 2286. 2287. 2288. 2289. 2290. 2291. 2292. 2293. 2294. 2295. 2296. 2297. 2298. 2299. 2300. 2301. 2302. 2303. 2304. 2305. 2306. 2307. 2308. 2309. 2310. 2311. 2312. 2313. 2314. 2315. 2316. 2317. 2318. 2319. 2320. 2321. 2322. 2323. 2324. 2325. 2326. 2327. 2328. 2329. 2330. 2331. 2332. 2333. 2334. 2335. 2336. 2337. 2338. 2339. 2340. 2341. 2342. 2343. 2344. 2345. 2346. 2347. 2348. 2349. 2350. 2351. 2352. 2353. 2354. 2355. 2356. 2357. 2358. 2359. 2360. 2361. 2362. 2363. 2364. 2365. 2366. 2367. 2368. 2369. 2370. 2371. 2372. 2373. 2374. 2375. 2376. 2377. 2378. 2379. 2380. 2381. 2382. 2383. 2384. 2385. 2386. 2387. 2388. 2389. 2390. 2391. 2392. 2393. 2394. 2395. 2396. 2397. 2398. 2399. 2400. 2401. 2402. 2403. 2404. 2405. 2406. 2407. 2408. 2409. 2410. 2411. 2412. 2413. 2414. 2415. 2416. 2417. 2418. 2419. 2420. 2421. 2422. 2423. 2424. 2425. 2426. 2427. 2428. 2429. 2430. 2431. 2432. 2433. 2434. 2435. 2436. 2437. 2438. 2439. 2440. 2441. 2442. 2443. 2444. 2445. 2446. 2447. 2448. 2449. 2450. 2451. 2452. 2453. 2454. 2455. 2456. 2457. 2458. 2459. 2460. 2461. 2462. 2463. 2464. 2465. 2466. 2467. 2468. 2469. 2470. 2471. 2472. 2473. 2474. 2475. 2476. 2477. 2478. 2479. 2480. 2481. 2482. 2483. 2484. 2485. 2486. 2487. 2488. 2489. 2490. 2491. 2492. 2493. 2494. 2495. 2496. 2497. 2498. 2499. 2500. 2501. 2502. 2503. 2504. 2505. 2506. 2507. 2508. 2509. 2510. 2511. 2512. 2513. 2514. 2515. 2516. 2517. 2518. 2519. 2520. 2521. 2522. 2523. 2524. 2525. 2526. 2527. 2528. 2529. 2530. 2531. 2532. 2533. 2534. 2535. 2536. 2537. 2538. 2539. 2540. 2541. 2542. 2543. 2544. 2545. 2546. 2547. 2548. 2549. 2550. 2551. 2552. 2553. 2554. 2555. 2556. 2557. 2558. 2559. 2560. 2561. 2562. 2563. 2564. 2565. 2566. 2567. 2568. 2569. 2570. 2571. 2572. 2573. 2574. 2575. 2576. 2577. 2578. 2579. 2580. 2581. 2582. 2583. 2584. 2585. 2586. 2587. 2588. 2589. 2590. 2591. 2592. 2593. 2594. 2595. 2596. 2597. 2598. 2599. 2600. 2601. 2602. 2603. 2604. 2605. 2606. 2607. 2608. 2609. 2610. 2611. 2612. 2613. 2614. 2615. 2616. 2617. 2618. 2619. 2620. 2621. 2622. 2623. 2624. 2625.

17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:  
☐ Continuation      ☐ Divisional      ☐ Continuation-in-part (CIP)  
of prior application No: \_\_\_\_\_

Customer Number or Bar Code Label \_\_\_\_\_  
(Insert Customer No. or Attach Bar Code Label here)

or

☒ Correspondence Address Below

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## FEE TRANSMITTAL

TOTAL AMOUNT OF PAYMENT (\$) 2,212.00

**Complete if Known:**

Application No. Not Yet Assigned

Filing Date December 21, 1998

First Named Inventor Tadamasa Kitsukawa

Group Art Unit Not Yet Assigned

Examiner Name Not Yet Assigned

Attorney Docket No. 80398.P160

**METHOD OF PAYMENT** (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666

Deposit Account Name \_\_\_\_\_

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

- ☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.131(b)

2. ☒ Payment Enclosed

☒ Check

\_\_\_\_\_ Money Order

\_\_\_\_\_ Other

**FEE CALCULATION** (fees effective 10/01/97)

**1. FILING FEE**

| Large Entity |          | Small Entity |          | Fee Description                    | Fee Paid         |
|--------------|----------|--------------|----------|------------------------------------|------------------|
| Code         | Fee (\$) | Code         | Fee (\$) |                                    |                  |
| 101          | 760      | 201          | 380      | Utility application filing fee     | <u>760.00</u>    |
| 106          | 310      | 206          | 155      | Design application filing fee      | _____            |
| 107          | 480      | 207          | 240      | Plant filing fee                   | _____            |
| 108          | 760      | 208          | 380      | Reissue filing fee                 | _____            |
| 114          | 150      | 214          | 75       | Provisional application filing fee | _____            |
| SUBTOTAL (1) |          |              |          |                                    | \$ <u>760.00</u> |

**2. CLAIMS**

|                           |           |        | Extra     |   | Fee from below |   | Fee Paid       |
|---------------------------|-----------|--------|-----------|---|----------------|---|----------------|
| Total Claims              | <u>92</u> | - 20 = | <u>72</u> | X | <u>18.00</u>   | = | <u>1296.00</u> |
| Independent Claims        | <u>5</u>  | - 3 =  | <u>2</u>  | X | <u>78.00</u>   | = | <u>156.00</u>  |
| Multiple Dependent Claims |           |        |           | X |                | = |                |

| Large Entity |          | Small Entity |          | Fee Description   | Fee Paid          |
|--------------|----------|--------------|----------|---|-------------------|
| Code         | Fee (\$) | Code         | Fee (\$) |   |                   |
| 103          | 18       | 203          | 9        | Claims in excess of twenty                              | <u>1296.00</u>    |
| 102          | 78       | 202          | 39       | Independent claims in excess of 3                       | <u>156.00</u>     |
| 104          | 260      | 204          | 130      | Multiple dependent claim                                | _____             |
| 109          | 78       | 209          | 39       | Reissue independent claims over original patent         | _____             |
| 110          | 18       | 210          | 9        | Reissue claims in excess of 20 and over original patent | _____             |
| SUBTOTAL (2) |          |              |          |   | \$ <u>1452.00</u> |

**FEE CALCULATION (continued)**

### 3. ADDITIONAL FEES

| Large Entity              |          | Small Entity |          | Fee Description  | Fee Paid |
|---------------------------|----------|--------------|----------|--|----------|
| Fee Code                  | Fee (\$) | Fee Code     | Fee (\$) |  |          |
| 105                       | 130      | 205          | 65       | Surcharge - late filing fee or oath  | _____    |
| 127                       | 50       | 227          | 25       | Surcharge - late provisional filing fee or cover sheet                     | _____    |
| 139                       | 130      | 139          | 130      | Non-English specification  | _____    |
| 147                       | 2,520    | 147          | 2,520    | For filing a request for reexamination                                     | _____    |
| 112                       | 920*     | 112          | 920*     | Requesting publication of SIR prior to Examiner action                     | _____    |
| 113                       | 1,840*   | 113          | 1,840*   | Requesting publication of SIR after Examiner action                        | _____    |
| 115                       | 110      | 215          | 55       | Extension for response within first month                                  | _____    |
| 116                       | 380      | 216          | 190      | Extension for response within second month                                 | _____    |
| 117                       | 870      | 217          | 435      | Extension for response within third month                                  | _____    |
| 118                       | 1,360    | 218          | 680      | Extension for response within fourth month                                 | _____    |
| 128                       | 1,850    | 228          | 925      | Extension for response within fifth month                                  | _____    |
| 119                       | 300      | 219          | 150      | Notice of Appeal   | _____    |
| 120                       | 300      | 220          | 150      | Filing a brief in support of an appeal                                     | _____    |
| 121                       | 260      | 221          | 130      | Request for oral hearing   | _____    |
| 138                       | 1,510    | 138          | 1,510    | Petition to institute a public use proceeding                              | _____    |
| 140                       | 110      | 240          | 55       | Petition to revive unavoidably abandoned application                       | _____    |
| 141                       | 1,210    | 241          | 605      | Petition to revive unintentionally abandoned application                   | _____    |
| 142                       | 1,210    | 242          | 605      | Utility issue fee (or reissue)   | _____    |
| 143                       | 430      | 243          | 215      | Design issue fee   | _____    |
| 144                       | 580      | 244          | 290      | Plant issue fee  | _____    |
| 122                       | 130      | 122          | 130      | Petitions to the Commissioner  | _____    |
| 123                       | 50       | 123          | 50       | Petitions related to provisional applications                              | _____    |
| 126                       | 240      | 126          | 240      | Submission of Information Disclosure Stmt                                  | _____    |
| 581                       | 40       | 581          | 40       | Recording each patent assignment per property (times number of properties) | _____    |
| 146                       | 760      | 246          | 380      | For filing a submission after final rejection (see 37 CFR 1.129(a))        | _____    |
| 149                       | 760      | 249          | 380      | For each additional invention to be examined (see 37 CFR 1.129(a))         | _____    |
| Other fee (specify) _____ |          |              |          |  | _____    |
| Other fee (specify) _____ |          |              |          |  | _____    |
| SUBTOTAL (3)\$ 0          |          |              |          |  | _____    |

\*Reduced by Basic Filing Fee Paid

#### SUBMITTED BY:

Typed or Printed Name: Richard L. Gregory, Jr.

Signature R. L. Gregory Date 12-21-98

Reg. Number 42,607 Deposit Account User ID \_\_\_\_\_  
(complete if applicable)

UNITED STATES PATENT APPLICATION  
FOR  
A METHOD AND APPARATUS FOR PROVIDING ELECTRONIC COUPONS

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"Express Mail" mailing label number: EL034160695US

Date of Deposit: December 21, 1998

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D. C.

20231

Cindy Baglietto  
(Typed or printed name of person mailing paper or fee)

Cindy Baglietto  
(Signature of person mailing paper or fee)

12/21/98  
(Date signed)

# A METHOD AND APPARATUS FOR PROVIDING ELECTRONIC COUPONS

## FIELD OF THE INVENTION

The present invention relates to television broadcasting, and,  
5 specifically to providing on-demand electronic advertising information and coupon information along with broadcasts of television programs.

## BACKGROUND OF THE INVENTION

Television broadcasting technology has improved tremendously since  
10 its inception. Today, television signals are broadcasted on the airwaves, through cables, and via satellite. The number of stations and programs accessible today has increased to hundreds of stations. Consequently, the television broadcast systems have increased in complexity in order to present the information on the hundreds of stations to the viewer.

15 The current generation of complex broadcasting system technology provides the viewer with many options regarding the functions of the broadcasting system and the programs that are available for broadcast. These options include, but are not limited to, choices in presentation graphics, program directories that allow for channel surfing among program  
20 descriptions while watching a particular program on one channel, custom user-formatted menus, message receipt functions from a service provider, on-demand selection of pay-per-view broadcasts, selection of a broadcast for automatic recording, and programming a broadcast system to tune to a

preselected station at a designated time. These broadcasting system options are typically accessed through graphical user interfaces.

While the broadcast system technology improvements provide viewers with more programming selections and better picture and sound quality, these improvements provide retailers and service providers, the supporters of the broadcast system, with an improved medium over which to advertise their products. Retailers and service providers typically derive a benefit from the improved medium because the improved quality of the signals and the programming draw a larger audience, thereby providing them with a larger potential customer base. In spite of the larger potential customer base, there remains a need for an advertising system that better enables retailers and service providers to target customers with more product information and incentive to purchase than is provided with typical commercial segments. Furthermore, as a large number of homes contain personal computers, many of which are used to electronically purchase products and services via direct modem or Internet connections, there is a need to provide potential customers with product information and incentive to purchase that exploits the convenience of electronic purchasing.

The success of the combination of targeted advertising and electronic purchasing is best demonstrated by the financial success of the typical home shopping networks. The typical home shopping network provides products for which they present detailed advertising information and allow for electronic purchasing. This is a form of on-demand advertising because

when a viewer wants to shop, the viewer can tune in a home shopping network of their choice, and when the viewer tires of shopping, the viewer can tune to a different network or station. The on-demand nature of the home shopping networks is appreciated by the typical viewer.

- 5           One form of advertisement that is very successful is the provision of coupons issued by manufacturers, retailers, and service providers that allow the consumer to save money on particular products during particular times. Typically, coupons are published in magazines and newspapers, and the consumer acquires the coupons by purchasing the magazine or newspaper. In
- 10   the alternative, consumers are issued a coupon card by a particular retailer, wherein presentation of the coupon card, or electronic coupon, automatically allows the consumer to take advantage of reduced prices on particular goods without having to present coupons corresponding to the particular goods. However, there is a need for providing coupons that are compatible with
- 15   electronic purchasing methods and which are accompanied by more detailed product information.



## SUMMARY OF THE INVENTION

A method and apparatus for providing electronic coupons are provided. According to one aspect of the invention, coupon information is provided for items comprising products and services. The products and services may be used in scenes of live and prerecorded television programs. The scenes comprise currently displayed scenes, previously displayed scenes, and scenes that are to be displayed in the future. The coupon information is received along with broadcasts of associated television programs. The coupon information may be received simultaneously with the scenes in which items corresponding to the coupon information appear. Furthermore, the coupon information may be received prior to receipt of the scenes or television programs in which the items corresponding to the coupon information appear, in which case the coupon information is stored.

When a coupon mode is selected, the viewer is alerted when coupon information is available for an item. The viewer alert comprises a tone and at least one displayed mark, wherein the displayed marks may be superimposed over the broadcast of the television program on the screen. The displayed marks comprise an indicator for each item for which coupon data is available, and the indicators may be representative of the items to which the indicators correspond.

The coupon information for a particular item is requested when the viewer selects the indicator corresponding to the item in which the viewer is interested. Upon request, the coupon information is displayed on a display

along with the broadcast of the currently selected television program. The coupon information may be displayed by superimposing the information over the broadcast of the television program on the screen.

Coupon information may be selected for storage on a removable  
5 recording medium comprising integrated circuit cards, or "smart" cards, magnetic medium, and optical medium. Furthermore, data corresponding to the television program with which the coupon information is associated is stored on the removable recording medium along with the coupon information. The coupon information may be used to electronically order an  
10 associated item. The coupon information is redeemed by the viewer when the stored coupon information is read from the removable recording medium by a merchandise retailer or dealer.

In addition to the coupon information, advertising information may be provided to a viewer for selected items. The advertising information is  
15 received along with the broadcast of a television program. When an advertising mode is selected, the viewer is alerted when the advertising information is available. Selected advertising information is displayed along with the broadcast of a television program.

These and other features, aspects, and advantages of the present  
20 invention will be apparent from the accompanying drawings and from the detailed description and appended claims which follow.

## **BRIEF DESCRIPTION OF THE DRAWINGS**

The objects, features, and advantages of the present invention will be apparent to one skilled in the art from the following detailed description in which:

5           **Figure 1** is a computer system using one embodiment of the present invention.

**Figure 2** is a diagram of a Direct Satellite System (DSS) of one embodiment of the present invention.

10           **Figure 3** is a block diagram of an IRD of one embodiment of the present invention.

**Figure 4** is a flowchart of a method for providing on-demand advertising of one embodiment of the present invention.

**Figure 5** is a television program scene displayed along with advertising information alerts of one embodiment of the invention.

15           **Figure 6** is a sequence of displays of program scenes displayed along with advertising information alerts and advertising information of one embodiment of the invention.

**Figure 7** is a sequence of television program scenes displayed along with advertising information alerts and advertising information of an  
20   alternate embodiment of the invention.

**Figure 8** is a flowchart of a method for providing electronic coupons of one embodiment of the present invention.

Figure 9 is a television program scene displayed along with coupon information alerts of one embodiment of the invention.

Figure 10 is a sequence of displays of program scenes displayed along with coupon information alerts and coupon information of one embodiment  
5 of the invention.

Figure 11 is a sequence of displays comprising program scenes displayed along with coupon information alerts and coupon information of an alternate embodiment of the invention.

## DETAILED DESCRIPTION OF THE INVENTION

In the method and apparatus of the present invention the broadcast system described in one embodiment is a direct broadcast satellite system.

However, it is readily apparent to one skilled in the art that other processor-based systems that use on-screen menus may use the method and apparatus of the present invention. Furthermore, it is readily apparent to one skilled in the art that other broadcast systems which have the capability of receiving and displaying a multiplicity of stations may utilize the method and apparatus of the present invention.

10 In the following description, for purposes of explanation, numerous details are set forth, such as menus, flowcharts and system configurations, in order to provide a thorough understanding of the present invention. However, it will be apparent to one skilled in the art that these specific details are not required in order to practice the present invention. In other instances, well known electrical structures and circuits are shown in block diagram form in order not to unnecessarily obscure the present invention.

It is readily apparent to one skilled in the art that additional functions can be added to the process and functions modified or removed and still be within the spirit and scope of the invention. The system provides an innovative and user friendly access to a wealth of information regarding goods and services available through the broadcasting system, wherein the broadcasting system comprises high-definition television systems. In the present invention a number of functions are selectable through the remote

control device. It is apparent that these functions may be selectable through other devices such as a joystick or other means such as an on screen menu.

**Figure 1** is a computer system 100 using one embodiment of the present invention. The computer system 100 comprises a bus 101, or other communications hardware and software, for communicating information, and a processor 109 coupled to the bus 101 for processing information. The processor 109 represents a central processing unit (CPU) having any type of architecture. The computer system 100 further comprises a random access memory (RAM) or other dynamic storage device in main memory 104 coupled to the bus 101 for storing information and instructions to be executed by the processor 109. The computer system 100 further comprises a read only memory (ROM) 106, or other static storage device, coupled to the bus 101 for storing static information and instructions for the processor 109.

A data storage device 107, such as a magnetic disk or optical disk and a corresponding disk drive, is coupled to the bus 101. The computer system 100 may be coupled via the bus 101 to a display device 121 for displaying information to a user of the computer system 100. Display device 121 can include a frame buffer, specialized graphics rendering devices, a cathode ray tube (CRT), and a flat panel display, but the embodiment is not so limited.

An alphanumeric input device 122, including alphanumeric and other keys, may be coupled to the bus 101 for communicating information and command selections to the processor 109. Another type of user input device is a cursor control 123 comprising a mouse, a trackball, a pen, a touch screen, or cursor

direction keys for communicating direction information and command selections to the processor 109, and for controlling cursor movement on the display device 121.

In one embodiment, a hard copy device 124 is coupled to the bus 101 and is used for printing instructions, data, and other information on a medium such as paper, film, or similar types of media. Additionally, the computer system 100 can be coupled to a sound device for sound recording and playback 125. The computer system 100 can function as a terminal in a computer network, wherein the computer system 100 is a computer subsystem of a computer network, but the embodiment is not so limited. The computer system 100 may further include a video digitizing device 126. The video digitizing device 126 can be used to capture video images that can be transmitted to other computer systems coupled to the computer network.

**Figure 2** is a diagram of a Direct Satellite System (DSS) of one embodiment of the present invention. The system has an antenna 3, an integrated receiver/decoder 2 (IRD), a remote controller 5, and a monitor 4. Packets of data are transmitted by a transponder on the satellite. Each transponder transmits data in a time share manner at a predetermined frequency. The antenna 3 receives an encoded data signal sent from a satellite. The data is received in encrypted and encoded, or compressed, form. The antenna 3 has a low noise block down converter 3a (LNB). The LNB 3a converts a frequency of a signal sent from the satellite to another frequency. The converted signal is supplied to the IRD 3. A tuner 21 of a decoder is

tuned in to the frequency of the transponder corresponding to a channel, which is designated by a viewer so that the packets of digital data are received by the decoder. The received encoded signal is decoded by the IRD. The monitor 4 receives a signal from the IRD 3.

5           **Figure 3** is a block diagram of the IRD of one embodiment of the present invention. A radio frequency (RF) signal output from the LNB 3a of the antenna 3 is supplied to a tuner 21. The output from the tuner 21 is supplied to a quadrature phase shift keying (QPSK) demodulation circuit 22 for demodulation. The output from the QPSK demodulation circuit 22 is  
10 supplied to an error correcting circuit 23 for error correction. A transport IC 24 or demultiplexer receives the data stream, consisting of packets of data, from the error correcting circuit 23 and directs portions of the data stream to the appropriate circuit for processing.

          The digital data stream sent from a satellite includes headers for  
15 classifying the different portions of the data in the digital data stream. The transport IC stores the headers in registers and uses the headers to direct the data. The data stream sent from the satellite includes video data in the format specified by the Motion Pictures Expert Group standard (MPEG), MPEG audio data, electronic programming guide (EPG) data or electronic menu data,  
20 on-demand advertising data, and electronic coupon data. Data that is identified by its header to be video data is transferred to an MPEG video decoder 25. Data that is identified by its header to be audio data is transferred to an MPEG audio decoder 26. Similarly, data having a header that identifies



the data to be EPG data is transferred to a predetermined area in the data buffer 51 designated to store the EPG or electronic menu. Similarly, data having a header that identifies the data to be on-demand advertising data and electronic coupon data is transferred to a predetermined area in the data

5 buffer 51. The MPEG video decoder 25 decodes the video signal received from the transport IC. Dynamic random access memory (DRAM) 25a, connected to the MPEG video decoder 25, is used for buffering and storage of video data during processing by the MPEG video decoder. The MPEG audio decoder 26 decodes the digital audio signal. The DRAM 26a, connected to the MPEG  
10 audio decoder 26, is used for buffering of data and information during processing by the MPEG audio decoder 26.

The CPU 29 is the central control mechanism and executes code stored in the ROM 37 to perform certain functions of the system. For example, the CPU processes certain data to control the generation of the on-demand  
15 advertising data and coupon data in accordance with the teachings of the present invention. Furthermore, the CPU receives and processes the user input, received from the front panel buttons or switches 40 and the photodetector circuit 39, to provide the user functionality and access to the system described herein. A remote controller may be utilized by the user to  
20 transmit commands and make program selections in accordance with the teachings of the present invention. Moreover, the CPU accesses user settings and preferences for processing of information and configuration of the system. The user settings are stored in the non-volatile memory, such as

electrically erasable programmable read-only memory (EEPROM) 38. The CPU further maintains a list of pointers, stored in static random access memory (SRAM) 36, to the channel information and program information as well as the advertising and coupon data stored in the SRAM 51. Thus, when  
5 a user wishes to display a form of the advertising data or coupon data on the screen, the CPU 29, accessing pointers stored in the SRAM 36, communicates to the transport IC 34 to retrieve the data from the data buffer (SRAM) 51 identified by the pointers. The CPU then formulates the format and other digital data which forms the associated information on the screen and  
10 forwards the data representative of the associated information to the transport IC 34 which forwards the data to the DRAM 25a of the MPEG video decoder 25 for subsequent output to the screen.

The broadcasting system of one embodiment provides the viewer with programming information for a number of broadcasting stations, as well as  
15 providing the viewer with many options regarding the functions of the broadcasting system and the programs that are available for broadcast. These options allow for the controlled presentation of on-demand advertising information and electronic coupon information using an electronic program guide. These options further include, but are not limited to, choices in  
20 presentation graphics, program directories that allow for channel surfing among program descriptions while watching a particular program on one channel, custom user-formatted menus, message receipt functions from a service provider, on-demand selection of pay-per-view broadcasts, selection of

a broadcast for automatic recording, and programming a broadcast system to tune to a preselected station at a designated time. These broadcasting system options are accessed through graphical user interfaces in the form of on-screen menus. The broadcasting system described herein provides an on-screen menu display that enables the user to easily access and manipulate the functions of the system.

**Figure 4** is a flowchart of a method for providing on-demand advertising of one embodiment of the present invention. Operation begins at step 402, at which advertising information is received along with broadcasts of associated television programs. According to one aspect of the invention, advertising information is provided for items comprising products and services used in scenes of live and prerecorded television programs and live and prerecorded television commercials. The scenes comprise currently displayed scenes, previously displayed scenes, and scenes that are to be displayed in the future, but the embodiment is not so limited. The advertising information may be received simultaneously with the scenes in which the identified items corresponding to the advertising information appear, but the embodiment is not so limited. Furthermore, the advertising information may be received prior to receipt of the scenes or television programs in which the identified items corresponding to the advertising information appear, in which case the advertising information is stored along with timing data that links the advertising information to the corresponding scene or program. The television program is displayed, at step 404.

In accessing the advertising information, one of a number of display modes are selected by a viewer or user. The display modes of one embodiment comprise an advertisement mode, a stored advertisement mode, and a non-advertisement mode, but the embodiment is not so limited.

- 5 The advertisement mode enables display of the advertising information. The stored advertisement mode results in the storing of the advertising information for presentation at a later time. The non-advertisement mode prevents display of the advertising information. A determination is made, at step 406, whether an advertisement mode is selected. If an advertisement
- 10 mode is not selected, operation continues at step 418. If an advertisement mode is selected, operation continues at step 408, at which the viewer is alerted when advertising information is available for an item displayed in a scene of the television program broadcast. The viewer alert comprises a tone and at least one displayed mark, wherein the displayed mark may be
- 15 superimposed over the broadcast of the television program on the screen, but the embodiment is not so limited. The displayed mark of one embodiment comprises an indicator for each item for which advertising data is available, and the indicators may be representative of the items to which the indicators correspond, but the embodiment is not so limited.

- 20 Operation continues at step 410, at which the advertising information for a particular item may be selected, or requested, when the viewer selects the indicator corresponding to the item in which the viewer is interested. The selection may be performed using a cursor or pointer, but the

embodiment is not so limited. If advertising information is selected for display by the viewer, operation continues at step 412, at which the advertising information is displayed along with the broadcast of the currently selected television program. The advertising information may be displayed  
5 by superimposing the information over the broadcast of the television program on the screen, but the embodiment is not so limited. Furthermore, the advertising information may be displayed on a portion of the display along with the television program broadcast, wherein either the advertising information or the television program is displayed on a picture-in-a-picture  
10 inset, but the embodiment is not so limited. Following display of the full advertisement at step 412, operation continues at step 414.

If advertising information is not selected for display by the viewer, operation continues at step 414, at which advertising information for particular items may be stored. If the advertising information is not selected  
15 for storage, operation continues at step 418. If the advertising information is selected for storage, operation continues at step 416, at which the advertising information is stored. The stored advertisement mode of one embodiment causes specified portions of the advertising information to be stored. The stored advertising information may be recalled and viewed at a time that is  
20 different from the display time of the scene in which the corresponding advertised item appears, but the embodiment is not so limited.

Following storage, a determination is made as to whether the advertisement mode remains selected. If an advertisement mode remains

selected, operation continues at step 402 and proceeds as previously detailed. If the advertisement modes are deselected, operation ends as selection of the non-advertisement mode prevents the display of advertising information to the viewer.

5           **Figure 5** is a television program scene 502 displayed along with advertising information alerts 521-529 of one embodiment of the invention. In one embodiment, the broadcasting system comprises three operating modes, but the embodiment is not so limited. A first mode, the advertisement mode, enables the display of advertising information and  
10   corresponding advertising alerts, but the embodiment is not so limited. A second mode, the stored advertisement mode, causes the received advertising information to be stored, but the embodiment is not so limited. The stored advertisement mode causes advertising information received both  
15   simultaneously and prior to receipt of the corresponding scene or program to be stored. As advertising information received prior to the time of the corresponding scene or program broadcast time comprises timing data that links the advertising information to the corresponding scene or program, the timing data is stored along with the advertising information. The stored advertising information may be recalled and viewed at a time that is different  
20   from a display time of a scene in which the corresponding advertised item appears. A third mode, the non-advertisement mode, prevents the display of advertising information and corresponding advertising alerts, but the embodiment is not so limited.

In the example that follows, the advertisement mode is selected in the broadcasting system, thereby enabling the display of advertising information, but the embodiment is not so limited. The program scene 502 is one in which an adult actor 590 and a child actor 592 are enjoying a meal. The program scene 520 may be from a live television program or a prerecorded television program, but the embodiment is not so limited. Advertising information is provided for numerous items 511-519 present in the program scene 502, as indicated by the displayed advertising marks 521-529. When advertising information is available for a particular item, a corresponding advertising mark will be displayed on the screen. The advertising mark may be accompanied by a viewer-controlled alert tone, but the embodiment is not so limited. The displayed advertising marks may be superimposed over the program scene on any portion of the display screen, but the embodiment is not so limited. In an alternate embodiment, a portion of the display screen is allocated to contain the displayed marks, but the embodiment is not so limited.

In one embodiment, the advertising marks are representative of the items to which the marks correspond. For example, the advertising marks may be some combination of alphanumerics and icons representative of the item, but the embodiment is not so limited. The advertising information for a particular item is selected for display by moving a cursor 599 or other pointer to the corresponding advertising mark and selecting the mark. The cursor control comprises a remote control device and a mouse, but the

embodiment is not so limited. The advertising information displayed for an item may comprise, but is not limited to, manufacturer's information, dealer information, service information, specification information, cost information, and availability. In one embodiment, the advertising

5 information may comprise electronic catalogs that contain information on additional products and services offered by the particular manufacturer and dealer, electronic links to electronic catalogs, electronic links to product manufacturers and dealers that comprise electronic mail and voice messaging links, and electronic links over the Internet to the Web pages of product  
10 manufacturers and dealers, but the embodiment is not so limited.

In the displayed program scene 502, for example, advertising information is available for the chair 511 in which the actor 590 is sitting by selecting the corresponding chair icon advertising mark 521. Advertising information is available for the hat 512 worn by the actor 590 by selecting the  
15 corresponding hat icon advertising mark 522. Advertising information is available for the hat 513 worn by the child actor 592 by selecting the corresponding hat icon advertising mark 523. Advertising information is available for the flower arrangement 514 by selecting the corresponding vase icon advertising mark 524. Advertising information is available for the cake  
20 515 by selecting the corresponding cake icon advertising mark 525.

Advertising information is available for the dishware 516 by selecting the corresponding dishware icon advertising mark 526. Advertising information is available for the highchair 517 by selecting the corresponding highchair



icon advertising mark 527. Advertising information is available for the artwork 518 by selecting the corresponding artwork icon advertising mark 528. Advertising information is available for the table 518 by selecting the corresponding table icon advertising mark 528.

5 As an alternative to providing an advertising mark for each item for which advertising information is available, advertising marks may be displayed for general categories of items. For example, all clothing items of a scene for which advertising information is available may be grouped together to be accessed using one general clothing icon, but the embodiment is not so limited. Selecting the clothing icon results in a display comprising a list of each clothing item for which advertising information is available. Selecting an article of clothing from the list results in display of the specific information pertaining to the selected article of clothing.

10 In another alternate embodiment, a single generic advertising mark may be used to indicate that advertising information is available for at least one item of a scene. Selecting the advertising mark with a cursor or pointing device presents a list of items superimposed over the displayed program broadcast for which advertising information is available. The viewer may then move the cursor to highlight particular items of interest, whereupon selection of a particular item results in a second display comprising particular advertising information about that product.

**Figure 6** is a sequence of displays of program scenes displayed along with advertising information alerts and advertising information of one

embodiment of the invention. The first display 602 is a program scene depicting an automobile. When the advertisement mode is selected, in the second display 604, an advertising mark "CAR" 606 is displayed in a portion of the screen 608 reserved for advertising marks. Alternatively, the

5 advertising mark 606 could be superimposed over the program broadcast on the screen. The advertising mark is placed at any location on the screen as selected by the viewer, but the embodiment is not so limited. In a system in which an advertising mark is displayed for each item for which available, the advertising mark 606 indicates advertising information is available for the

10 car. In a system in which a generic advertising mark is displayed for a category of goods and services, the advertising mark 606 indicates advertising information is available for goods and services that are associated with the car.

Following selection of the advertising mark 606, the corresponding

15 advertising information 610 is displayed in the third display 605. The display of the advertising information 610 comprises superimposing the advertising information over the display of the program broadcast 602 and displaying the advertising information 610 in a prespecified or selected portion of the screen, but the embodiment is not so limited. Following display of the advertising

20 information 610, the view is provided with two selections 612-614, but the embodiment is not so limited. The viewer may dismiss 612 the advertising information, wherein the advertising information is removed from the program broadcast 602. Furthermore, the viewer may store 614 the

advertising information, wherein the advertising information is stored in a buffer or register for later retrieval and removed from the program broadcast 602.

**Figure 7** is a sequence of television program scenes displayed along with advertising information alerts and advertising information of an alternate embodiment of the invention. The first program scene 702 depicts a person with an automobile. This example details a system in which a generic advertising mark is displayed to indicate advertising information is available for at least one of the goods and services depicted in a program scene, but the embodiment is not so limited. When the advertisement mode is selected, an advertising mark in the form of a general icon "AD" 720 is displayed in a portion of the screen reserved for the advertising mark. Alternatively, the advertising mark 720 may be superimposed over the program broadcast on the screen. The advertising mark is placed at any location on the screen as selected by the viewer, but the embodiment is not so limited.

Following selection of the advertising mark 720, the corresponding advertising information 704 is displayed. The display of the advertising information 704 comprises superimposing the advertising information over the display of the program broadcast 702 and displaying the advertising information 730 in a prespecified or selected portion of the screen, but the embodiment is not so limited. The advertising information 704 comprises information for the car 731, the car tires 732, car detailing services 733, car repair services 734, the shirt 735, and the shorts 736. A viewer-controlled

cursor 739 or pointer is used to select advertising information on the car tires 732. The car tire advertising information 706 is displayed.

Following display of the selected advertising information 706, the viewer is provided with two selections, but the embodiment is not so limited.

5 The viewer may dismiss the advertising information, wherein the advertising information is removed from the program broadcast.

Furthermore, the viewer may store the advertising information, wherein the advertising information is stored in a buffer or register for later retrieval and removed from the program broadcast.

10 In addition to the on-demand advertising information, a broadcast system viewer may be presented with electronic coupon information. **Figure 8** is a flowchart of a method for providing electronic coupons of one embodiment of the present invention. Operation begins at step 802, at which coupon information is received along with broadcasts of associated television  
15 programs. According to one aspect of the invention, coupon information is provided for items comprising products and services. The products and services may be used in scenes of live and prerecorded television programs and live and prerecorded television commercials, wherein the scenes comprise currently displayed scenes, previously displayed scenes, and scenes  
20 that are to be displayed in the future, but the embodiment is not so limited. The coupon information may be received simultaneously with the scenes in which the identified items corresponding to the coupon information appear, but the embodiment is not so limited. Furthermore, the coupon information

may be received prior to receipt of the scenes or television programs in which the identified items corresponding to the coupon information appear, in which case the coupon information is stored along with timing data that links the coupon information to the corresponding scene or program. The television program is displayed, at step 804.

In accessing the coupon information, one of a number of display modes are selected by a viewer or user. The display modes of one embodiment comprise a coupon mode, a stored coupon mode, and a non-coupon mode, but the embodiment is not so limited. The coupon mode enables display of the coupon information. The stored coupon mode results in the storing of the coupon information for presentation at a later time. The non-coupon mode prevents display of the coupon information. A determination is made, at step 806, whether a coupon mode is selected. If a coupon mode is not selected, operation continues at step 818.

If a coupon mode is selected, operation continues at step 808, at which the viewer is alerted when coupon information is available. The viewer alert comprises a tone and at least one displayed mark, wherein the displayed marks may be superimposed over the broadcast of the television program on the screen, but the embodiment is not so limited. The displayed marks of one embodiment comprise an indicator for each item for which coupon data is available, and the indicators may be representative of the items to which the indicators correspond, but the embodiment is not so limited.

Operation continues at step 810, at which the coupon information for a particular item may be selected, or requested, when the viewer selects the indicator corresponding to the item in which the viewer is interested. The selection may be performed using a cursor or pointer, but the embodiment is not so limited. If coupon information is selected for display by the viewer, operation continues at step 812, at which the full coupon information is displayed on the display along with the broadcast of the currently selected television program. The coupon information may be displayed by superimposing the information over the broadcast of the television program on the screen, but the embodiment is not so limited. Furthermore, the coupon information may be displayed on a portion of the display along with the television program broadcast, wherein either the coupon information or the television program is displayed on a picture-in-a-picture inset, but the embodiment is not so limited. Following display of the full coupon information at step 812, operation continues at step 814.

If coupon information is not selected for display by the viewer, operation continues at step 814, at which coupon information for particular items may be stored on a removable recording medium. If the coupon information is not selected for storage, operation continues at step 818. If the coupon information is selected for storage, operation continues at step 816, at which the coupon information is stored on the removable recording medium. The removable recording medium comprises integrated circuit cards, or "smart" cards, magnetic medium, and optical medium. In an

alternate embodiment, the coupon information may be provided to a system printer, wherein the system printer provides a printed coupon that may be redeemed by the viewer.

5 In one embodiment, data corresponding to the television program with which the coupon information is associated is stored on the removable recording medium along with the coupon information. The data corresponding to the television program comprises, but is not limited to, the program title, the program description, the date of airing, the time of airing, and the channel on which the program is aired.

10 Following storage, a determination is made as to whether the coupon mode remains selected. If a coupon mode remains selected, operation continues at step 802 and proceeds as previously detailed. If the coupon modes are deselected, operation ends as selection of the non-coupon mode prevents the display of coupon information to the viewer.

15 In one embodiment, the coupon information is redeemed by the viewer when the stored coupon information is read from the removable recording medium by a merchandise retailer or dealer. Furthermore, the data corresponding to the television program may be read from the removable recording medium by the merchandise retailer, but the embodiment is not so  
20 limited. In an alternate embodiment, the coupon information is redeemed by the viewer via an electronic link established with a merchandise retailer or dealer, wherein the electronic link allows the merchandise retailer to read the stored coupon information from the recording medium of the viewer at such

time as the viewer electronically orders or purchases merchandise. The electronic link may allow the merchandise retailer to read the data corresponding to the television program; an alternative, however, establishes a second electronic link between the viewer's system and the collection

5 center, wherein the collection center reads the data corresponding to the television program using this second electronic link. The data corresponding to the television program is electronically transferred to a collection center for statistical television data, but the embodiment is not so limited. The electronic transfer of the data corresponding to the television program may  
10 occur between the viewer's system and the collection center or between the retailer's system and the collection center, but the embodiment is not so limited.

At least one condition precedent may require satisfaction prior to allowing redemption of coupon information by the viewer. For example, a  
15 viewer may be required to watch a particular program or combination of programs as a condition precedent to using selected coupon information. In one embodiment, the condition precedent is required to be satisfied prior to allowing the storing of selected coupon data on the removable recording medium. In an alternate embodiment, the coupon information is stored on  
20 the removable recording medium, and the condition precedent is required to be satisfied prior to allowing the coupon data to be read by the merchandise retailer or dealer.



Figure 9 is a television program scene 902 displayed along with coupon information alerts 920-922 of one embodiment of the invention. In one embodiment, the broadcasting system comprises three operating modes, but the embodiment is not so limited. A first mode, the coupon mode, enables the display of coupon information and corresponding coupon alerts, but the embodiment is not so limited. A second mode, the stored coupon mode, causes the received coupon information to be stored for later retrieval, but the embodiment is not so limited. The stored coupon mode causes coupon information received both simultaneously and prior to receipt of the corresponding scene or program to be stored. As coupon information received prior to the time of the corresponding scene or program broadcast time comprises timing data that links the coupon information to the corresponding scene or program, the timing data is stored along with the coupon information. The stored coupon information may be recalled and viewed at a time that is different from a display time of a scene in which the corresponding advertised item appears. A third mode, the non-coupon mode, prevents the display of coupon information and corresponding coupon alerts, but the embodiment is not so limited.

In the example that follows, the coupon mode is selected in the broadcasting system, thereby enabling the display of coupon information, but the embodiment is not so limited. The program scene 902 is one in which two actors are enjoying a game of tennis. The program scene 920 may be from a live television program or a prerecorded television program, but the

embodiment is not so limited. In one embodiment, coupon information is provided for numerous items 910-914 present in the program scene 902, as indicated by the displayed coupon marks 920-924; however, coupon information may be provided for items that are not present in the program

5 scene 902. When coupon information is available for a particular item, a corresponding coupon mark will be displayed on the screen. The coupon mark may be accompanied by a viewer-controlled alert tone, but the embodiment is not so limited. The displayed coupon marks may be superimposed over the program scene on any portion of the display screen, 10 but the embodiment is not so limited. In an alternate embodiment, a portion of the display screen is allocated to contain the displayed coupon marks, but the embodiment is not so limited.

In one embodiment, the coupon marks are representative of the items to which the marks correspond. For example, the coupon marks may be 15 some combination of alphanumeric and icons representative of the item, but the embodiment is not so limited. The coupon information for a particular item is selected for display by moving a cursor 999 or other pointer to the corresponding coupon mark and selecting the mark. The cursor control comprises a remote control device and a mouse, but the embodiment is not 20 so limited. The coupon information displayed for an item may comprise, but is not limited to, manufacturer's information, dealer information, service information, specification information, cost information, redemption information, and dates of validity. In one embodiment, the coupon

information may comprise electronic catalogs that contain information on additional products and services offered by the particular manufacturer and dealer and service provider, electronic links to electronic catalogs, electronic links to product manufacturers and dealers that comprise electronic mail and  
5 voice messaging links, and electronic links over the Internet to the Web pages of product manufacturers and dealers, but the embodiment is not so limited.

In the displayed program scene 902, for example, coupon information is available for the tennis rackets 910, the shoes 912, and the clothing 914. Coupon information is available for the tennis racket 910 by selecting the  
10 corresponding tennis racket icon coupon mark 920. Coupon information is available for the shoes 912 by selecting the corresponding shoe icon coupon mark 922. Coupon information is available for the clothing 914 by selecting the corresponding clothing icon coupon mark 924.

As an alternative to providing a coupon mark for each item for which  
15 coupon information is available, coupon marks may be displayed for general categories of items. For example, all clothing items of a scene for which coupon information is available may be grouped together to be accessed using one general clothing icon, but the embodiment is not so limited. Selecting the clothing icon results in a display comprising a list of each clothing item  
20 for which coupon information is available. Selecting an article of clothing from the list results in display of the specific coupon information pertaining to the selected article of clothing.

In another alternate embodiment, a single generic coupon mark may be used to indicate that coupon information is available for at least one item of a scene. Selecting the coupon mark with a cursor or pointing device presents a list of items superimposed over the displayed program broadcast for which coupon information is available. The viewer may then move the cursor to highlight particular items of interest, whereupon selection of a particular item results in a second display comprising particular coupon information about that product.

**Figure 10** is a sequence of displays of program scenes displayed along with coupon information alerts and coupon information of one embodiment of the invention. The first program scene 1002 depicts an actor and a bicycle. When the coupon mode is selected, in the second display 1004, coupon marks "CLOTHING" 1006 and "BICYCLE" 1007 are displayed in a portion of the screen 1008 reserved for coupon marks. Alternatively, the coupon marks 1006-1007 could be superimposed over the program broadcast on the screen. The coupon marks are placed at any location on the screen as selected by the viewer, but the embodiment is not so limited. In a system in which a coupon mark is displayed for each item for which available, the coupon marks 1006-1007 indicate coupon information is available for the clothing and the bicycle. In a system in which a generic coupon mark is displayed for a category of goods and services, the coupon mark indicates coupon information is available for goods and services that are associated with the category.

Following selection of the bicycle coupon mark 1007, the corresponding advertising information 1010 is displayed. The display of the coupon information 1010 comprises superimposing the coupon information over the display of the program broadcast and displaying the coupon information 1010 in a prespecified or selected portion of the screen, but the embodiment is not so limited. Following display of the coupon information 1010, the viewer is provided with two selections 1012, but the embodiment is not so limited. For a first selection, the viewer may dismiss the coupon information, wherein the coupon information is removed from the program broadcast. For a second selection, the viewer may store the coupon information, wherein the coupon information is stored on a removable recording medium.

**Figure 11** is a sequence of displays comprising program scenes displayed along with coupon information alerts and coupon information of an alternate embodiment of the invention. The first display 1102 depicts a person with two dogs. This example details a system in which a generic coupon mark is displayed to indicate the availability of coupon information for at least one of the goods and services depicted in a program scene, but the embodiment is not so limited. When the coupon mode is selected, a coupon mark in the form of a general icon "SAVE \$" 1120 is displayed in a portion of the screen reserved for the coupon mark. Alternatively, the coupon mark 1120 may be superimposed over the program broadcast on the screen. The coupon mark is placed at any location on the screen as selected by the viewer, but the embodiment is not so limited.

Following selection of the coupon mark 1120, the corresponding coupon information 1104 is displayed. The display of the coupon information 1104 comprises superimposing the coupon information over the display of the program broadcast 1102 and displaying the coupon information 1104 in a prespecified or selected portion of the screen, but the embodiment is not so limited. The coupon information 1104 comprises information for pet products 1131, pet services 1132, and clothing 1133, but the embodiment is not so limited. A viewer-controlled cursor 1139 or pointer is used to select coupon information on the pet services 1132. Upon selection, the pet services 1106 are displayed for which coupon information is available. The cursor 1149 is used to select coupon information on pet sitting, where upon selection the coupon information on pet sitting 1107 is displayed.

Following display of the selected advertising information 1106, the viewer is provided with two selections 1108, but the embodiment is not so limited. As a first selection, the viewer may dismiss the coupon information, wherein the coupon information is removed from the program broadcast. As a second selection, the viewer may store selected coupon information, wherein the selected coupon information is stored on a removable recording medium. The coupon information on the removable recording medium may be redeemed by the viewer either using an electronic link or by presenting the removable recording medium to a retailer or dealer for reading, but the embodiment is not so limited.

The invention has been described in conjunction with the preferred embodiment. Although the present invention has been described with reference to specific exemplary embodiments, it will be evident that various modifications and changes may be made to these embodiments without  
5 departing from the broader spirit and scope of the invention as set forth in the claims. Accordingly, the specification and drawings are to be regarded in an illustrative rather than a restrictive sense.

## CLAIMS

What is claimed is:

- 1 1. A method for providing electronic coupons, comprising the steps of:  
2 receiving coupon information for at least one item along with a  
3 broadcast of a program;  
4 enabling selection of one of a plurality of display modes, wherein the  
5 plurality of display modes comprises a coupon mode;  
6 alerting a viewer when the coupon information is available in the  
7 coupon mode when the coupon mode is selected; and  
8 displaying the coupon information on a display along with the  
9 broadcast of a program.
- 1 2. The method of claim 1, further comprising the steps of:  
2 providing the broadcast of a program;  
3 providing the coupon information for the at least one item;  
4 transmitting the coupon information along with the program data; and  
5 storing the received coupon information.
- 1 3. The method of claim 2, further comprising the steps of:  
2 providing the coupon information on at least one smart card;  
3 providing the at least one smart card to a viewer;



4           synchronizing the coupon information with the broadcast of a program  
5   upon receipt of the broadcast of a program.

1   4.     The method of claim 1, wherein the broadcast of a program is provided  
2   using a high-definition television system.

1   5.     The method of claim 1, wherein the plurality of display modes further  
2   comprises a non-coupon mode and a stored coupon mode.

1   6.     The method of claim 1, wherein the step of alerting comprises  
2   providing at least one alert to a viewer when at least one coupon is available,  
3   wherein the at least one alert comprises a tone and at least one displayed  
4   mark.

1   7.     The method of claim 6, wherein the at least one displayed mark is  
2   superimposed over the broadcast of a program on the display.

1   8.     The method of claim 6, wherein the at least one displayed mark  
2   comprises a coupon indicator for each coupon available.

1   9.     The method of claim 1, further comprising the step of requesting the  
2   coupon information, wherein the step of requesting comprises selecting a  
3   coupon indicator corresponding to an item in which the viewer is interested,

4 wherein selecting the coupon indicator results in the display of detailed  
5 coupon information.

1 10. The method of claim 1, further comprising the steps of:  
2 storing selected coupon data on a removable recording medium; and  
3 storing data corresponding to the television program on the removable  
4 recording medium, wherein the data corresponding to the program  
5 comprises a program title, a program description, a date of airing, a time of  
6 airing, and a channel of airing.

1 11. The method of claim 10, wherein the coupon data comprises the data  
2 corresponding to the program.

1 12. The method of claim 10, further comprising the steps of:  
2 reading the coupon information from the removable recording  
3 medium;  
4 reading the data corresponding to the program from the removable  
5 recording medium;  
6 transferring the data corresponding to the program to a collection  
7 center for statistical programming data; and  
8 redeeming a value of the coupon information.

1 13. The method of claim 10, further comprising the step of satisfying at  
2 least one condition prior to storing selected coupon data on a removable  
3 recording medium.

1 14. The method of claim 12, further comprising the step of determining  
2 whether the at least one condition is satisfied before allowing the reading of  
3 the coupon information.

1 15. The method of claim 12, wherein the step of reading the coupon  
2 information comprises reading the coupon information using an electronic  
3 link established with at least one dealer, wherein the electronic link allows  
4 the at least one dealer to read the coupon information from the removable  
5 recording medium at such time as the viewer electronically purchases the at  
6 least one item.

1 16. The method of claim 5, wherein the stored coupon mode causes all of  
2 the coupon information for the program to be stored, wherein the stored  
3 coupon information is recalled and viewed at a time that is different from a  
4 broadcast time of the coupon information.

1 17. The method of claim 1, wherein the step of displaying comprises  
2 superimposing the coupon information over the program data on the  
3 display.

1 18. The method of claim 1, wherein the step of displaying comprises  
2 displaying the coupon information on a portion of the display, wherein the  
3 portion of the display is selectable by a viewer.

1 19. The method of claim 1, wherein the coupon information is received  
2 simultaneously with the broadcast of a program.

1 20. The method of claim 1, wherein the coupon information is received  
2 prior to receiving the broadcast of a program, wherein the prior received  
3 coupon information is stored.

1 21. The method of claim 20, further comprising the step of receiving  
2 timing data that links the coupon information to the corresponding program.

1 22. The method of claim 1, wherein the program comprises live television  
2 programs, prerecorded television programs, live television commercials,  
3 prerecorded television commercials, movies, and pay-per-view  
4 programming.

1 23. The method of claim 1, wherein the at least one item comprises at least  
2 one product and at least one service.

1 24. The method of claim 1, wherein the coupon information is provided  
2 by sponsors of at least one television program and by the program broadcaster.

1 25. The method of claim 1, wherein the at least one item is associated with  
2 at least one scene of the program.

1 26. The method of claim 1, further comprising the step of controlling  
2 presentation of the coupon information using an electronic program guide,  
3 wherein the electronic program guide provides programming information  
4 for a plurality of broadcasting stations.

1 27. The method of claim 1, further comprising the step of providing  
2 electronic links to at least one manufacturer and at least one dealer of the at  
3 least one item using the coupon information, wherein the electronic links  
4 comprise links to Web pages and electronic catalogs.

1 28. The method of claim 1, further comprising the step of storing the  
2 coupon information for the at least one item used throughout the program  
3 for a prespecified period of time after the program ends, wherein the viewer  
4 can recall the information during the prespecified period of time.

1 29. The method of claim 1, further comprising the steps of:

2 providing advertising information for the at least one item, wherein  
3 the at least one item is associated with at least one scene of a program;  
4 receiving the advertising information along with the broadcast of the  
5 program;  
6 alerting a viewer when the advertising information is available for the  
7 at least one item of the at least one scene; and  
8 displaying the advertising information on a display along with the  
9 broadcast of the program.

1 30. A broadcasting system comprising:  
2 a processor coupled to a display device, the processor capable of  
3 controlling the system to provide electronic coupons by,  
4 receiving coupon information for at least one item along with a  
5 broadcast of a program;  
6 enabling selection of one of a plurality of display modes,  
7 wherein the plurality of display modes comprises a coupon mode;  
8 alerting a viewer when the coupon information is available in  
9 the coupon mode; and  
10 displaying the coupon information on a display along with the  
11 broadcast of a program.

1 31. The system of claim 30, further comprising a display device coupled to  
2 the processor.

1 32. The system of claim 30, wherein the program comprises live television  
2 programs, prerecorded television programs, live television commercials,  
3 prerecorded television commercials, movies, and pay-per-view  
4 programming.

1 33. The system of claim 30, wherein the processor is further capable of  
2 controlling the system to provide electronic coupons by:  
3 providing the coupon information on at least one smart card;  
4 providing the at least one smart card to a viewer;  
5 synchronizing the coupon information with the broadcast of a program  
6 upon receipt of the broadcast of a program.

1 34. The system of claim 30, wherein the broadcast of a program is provided  
2 using a high-definition television system.

1 35. The system of claim 30, wherein the processor is configured to control  
2 the system to alert the viewer by providing at least one alert to the viewer  
3 when at least one coupon is available, wherein the at least one alert  
4 comprises a tone and at least one displayed mark.

1 36. The system of claim 35, wherein the at least one displayed mark is  
2 superimposed over the broadcast of a program on the display, wherein the at

3 least one displayed mark comprises a coupon indicator for each coupon  
4 available.

1 37. The system of claim 30, wherein the processor is further configured to  
2 control the system to request the coupon information, wherein the request  
3 comprises selecting a coupon indicator corresponding to an item in which the  
4 viewer is interested, wherein selecting the coupon indicator results in the  
5 display of detailed coupon information.

1 38. The system of claim 30, wherein the processor is further configured to  
2 control the system to:  
3 providing coupon information for the at least one item;  
4 store selected coupon data on a removable recording medium; and  
5 store data corresponding to the television program on the removable  
6 recording medium, wherein the data corresponding to the television  
7 program comprises a program title, a program description, a date of airing, a  
8 time of airing, and a channel of airing.

1 39. The system of claim 38, wherein the coupon data comprises the data  
2 corresponding to the program.

1 40. The system of claim 38, wherein the processor is further configured to  
2 control the system to:



3 read the coupon information from the removable recording medium;  
4 read the data corresponding to the program from the removable  
5 recording medium; and  
6 transfer the data corresponding to the program to a collection center for  
7 statistical programming data.

1 41. The system of claim 38, wherein the processor is further configured to  
2 control the system to determine whether at least one condition is satisfied  
3 prior to storing selected coupon data on a removable recording medium.

1 42. The system of claim 40, wherein the processor is further configured to  
2 control the system to determine whether the at least one condition is satisfied  
3 before allowing the reading of the coupon information.

1 43. The system of claim 30, wherein displaying comprises superimposing  
2 the coupon information over the program data on the display.

1 44. The system of claim 30, wherein the coupon information is received  
2 simultaneously with the program.

1 45. The system of claim 30, wherein the at least one item is associated with  
2 at least one scene of the program.

1 46. The system of claim 30, wherein the processor is further configured to  
2 control the system to present the coupon information using an electronic  
3 program guide, wherein the electronic program guide provides programming  
4 information for a plurality of broadcasting stations.

1 47. The system of claim 30, wherein the processor is further configured to  
2 control the system to provide at least one electronic link to at least one  
3 manufacturer and at least one dealer of the at least one item using the coupon  
4 information, wherein the electronic links comprise links to Web pages and  
5 electronic catalogs.

1 48. The system of claim 30, wherein the processor is further configured to  
2 control the system to store the coupon information for the at least one item  
3 used throughout the program for a prespecified period of time after the  
4 program ends, wherein the viewer can recall the information during the  
5 prespecified period of time.

1 49. The broadcasting system of claim 30, wherein the processor is further  
2 configured to control the system to:  
3 provide advertising information for the at least one item, wherein the  
4 at least one item is associated with at least one scene of a program;  
5 receive the advertising information along with the broadcast of the  
6 program;

7 alert a viewer when the advertising information is available for the at  
8 least one item of the at least one scene; and  
9 display the advertising information on a display along with the  
10 broadcast of the program.

1 50. A computer readable medium containing executable instructions  
2 which, when executed in a processing system, causes the system to perform a  
3 method for providing electronic coupons, the method comprising the steps  
4 of:

5 receiving coupon information for at least one item along with a  
6 broadcast of a program;  
7 enabling selection of one of a plurality of display modes, wherein the  
8 plurality of display modes comprises a coupon mode;  
9 alerting a viewer when the coupon information is available in the  
10 coupon mode when the coupon mode is selected; and  
11 displaying the coupon information on a display along with the  
12 broadcast of a program.

1 51. The computer readable medium of claim 50, wherein the method  
2 further comprises the steps of:  
3 providing the broadcast of a program;  
4 providing the coupon information for the at least one item;  
5 transmitting the coupon information along with the program data; and

6 storing the received coupon information.

1 52. The computer readable medium of claim 51, wherein the method  
2 further comprises the steps of:  
3 providing the coupon information on at least one smart card;  
4 providing the at least one smart card to a viewer;  
5 synchronizing the coupon information with the broadcast of a program  
6 upon receipt of the broadcast of a program.

1 53. The computer readable medium of claim 50, wherein the step of  
2 alerting comprises providing at least one alert to a viewer when at least one  
3 coupon is available, wherein the at least one alert comprises a tone and at  
4 least one displayed mark.

1 54. The computer readable medium of claim 53, wherein the at least one  
2 displayed mark is superimposed over the broadcast of a program on the  
3 display, wherein the at least one displayed mark comprises a coupon indicator  
4 for each coupon available.

1 55. The computer readable medium of claim 50, wherein the method  
2 further comprises the step of requesting the coupon information, wherein the  
3 step of requesting comprises selecting a coupon indicator corresponding to an

4 item in which the viewer is interested, wherein selecting the coupon  
5 indicator results in the display of detailed coupon information.

1 56. The computer readable medium of claim 50, wherein the method  
2 further comprises the steps of:  
3 storing selected coupon data on a removable recording medium; and  
4 storing data corresponding to the television program on the removable  
5 recording medium, wherein the data corresponding to the program  
6 comprises a program title, a program description, a date of airing, a time of  
7 airing, and a channel of airing.

1 57. The computer readable medium of claim 56, wherein the method  
2 further comprises the steps of:  
3 reading the coupon information from the removable recording  
4 medium;  
5 reading the data corresponding to the program from the removable  
6 recording medium; and  
7 transferring the data corresponding to the program to a collection  
8 center for statistical television data.

1 58. The computer readable medium of claim 56, wherein the method  
2 further comprises the step of satisfying at least one condition prior to storing  
3 selected coupon data on a removable recording medium.

1 59. The computer readable medium of claim 57, wherein the method  
2 further comprises the step of determining whether the at least one condition  
3 is satisfied before allowing the reading of the coupon information.

1 60. The computer readable medium of claim 50, further comprising the  
2 step of controlling presentation of the coupon information using an  
3 electronic program guide, wherein the electronic program guide provides  
4 programming information for a plurality of broadcasting stations.

1 61. The computer readable medium of claim 50, further comprising the  
2 step of providing electronic links to at least one manufacturer and at least one  
3 dealer of the at least one item using the coupon information, wherein the  
4 electronic links comprise links to Web pages and electronic catalogs.

1 62. The computer readable medium of claim 50, further comprising the  
2 step of storing the coupon information for the at least one item used  
3 throughout the program for a prespecified period of time after the program  
4 ends, wherein the viewer can recall the information during the prespecified  
5 period of time.

1 63. The computer readable medium of claim 50, wherein the step of  
2 displaying comprises superimposing the coupon information over the  
3 program data on the display.

1 64. The computer readable medium of claim 50, wherein the program  
2 comprises live television programs, prerecorded television programs, live  
3 television commercials, prerecorded television commercials, movies, and  
4 pay-per-view programming.

1 65. The computer readable medium of claim 50, wherein the at least one  
2 item is associated with at least one scene of the program.

1 66. The computer readable medium of claim 50, wherein the method  
2 further comprises the steps of:  
3 providing advertising information for the at least one item, wherein  
4 the at least one item is associated with at least one scene of a program;  
5 receiving the advertising information along with the broadcast of the  
6 program;  
7 alerting a viewer when the advertising information is available for the  
8 at least one item of the at least one scene; and  
9 displaying the advertising information on a display along with the  
10 broadcast of a program.

1 67. The computer readable medium of claim 50, wherein the method  
2 further comprises the steps of:  
3 providing the coupon information on at least one smart card;  
4 providing the at least one smart card to a viewer;  
5 synchronizing the coupon information with the broadcast of a program  
6 upon receipt of the broadcast of a program.

1 68. The computer readable medium of claim 50, wherein the broadcast of a  
2 program is provided using a high-definition television system.

1 69. A method for providing electronic coupons, comprising the steps of:  
2 receiving coupon information for at least one item;  
3 transmitting coupon information for at least one item along with a  
4 broadcast of a program; and  
5 displaying the coupon information on a display along with the  
6 broadcast of a program.

1 70. The method of claim 69, further comprising the steps of:  
2 providing an alert to the viewer, wherein the viewer is alerted when  
3 the coupon information is available in the coupon mode;  
4 synchronizing the coupon information with the display of a  
5 corresponding at least one item during the broadcast of a program; and



6 enabling selection of one of a plurality of display modes, wherein the  
7 plurality of display modes comprises a coupon mode.

1 71. The method of claim 70, wherein the plurality of display modes further  
2 comprises a non-coupon mode and a stored coupon mode.

1 72. The method of claim 70, wherein the step of providing an alert  
2 comprises providing at least one alert to a viewer when at least one coupon is  
3 available, wherein the at least one alert comprises a tone and at least one  
4 displayed mark.

1 73. The method of claim 72, wherein the at least one displayed mark is  
2 superimposed over the broadcast of a program on the display, wherein the at  
3 least one displayed mark comprises a coupon indicator for each coupon  
4 available.

1 74. The method of claim 69, further comprising the step of enabling a  
2 request for the coupon information, wherein enabling a request comprises  
3 selecting a coupon indicator corresponding to an item in which the viewer is  
4 interested, wherein selecting the coupon indicator results in the display of  
5 detailed coupon information.

1 75. The method of claim 69, wherein the step of displaying comprises  
2 superimposing the coupon information over the program data on the  
3 display.

1 76. The method of claim 69, wherein the step of displaying comprises  
2 displaying the coupon information on a portion of the display, wherein the  
3 portion of the display is selectable by a viewer.

1 77. The method of claim 69, wherein the coupon information is  
2 transmitted simultaneously with the broadcast of a program.

1 78. The method of claim 69, wherein the coupon information is  
2 transmitted prior to the broadcast of a program.

1 79. The method of claim 69, further comprising the step of transmitting  
2 timing data that links the coupon information to the corresponding program.

1 80. The method of claim 69, wherein the program comprises live  
2 television programs, prerecorded television programs, live television  
3 commercials, prerecorded television commercials, movies, and pay-per-view  
4 programming.

1 81. A computer readable medium containing executable instructions  
2 which, when executed in a processing system, causes the system to perform  
3 the steps of a method for providing electronic coupons, the method  
4 comprising the steps of:  
5 receiving coupon information for at least one item;  
6 transmitting coupon information for at least one item along with a  
7 broadcast of a program; and  
8 displaying the coupon information on a display along with the  
9 broadcast of a program.

1 82. The method of claim 81, wherein the method further comprises the  
2 steps of:  
3 providing an alert to the viewer, wherein the viewer is alerted when  
4 the coupon information is available in the coupon mode;  
5 synchronizing the coupon information with the display of a  
6 corresponding at least one item during the broadcast of a program; and  
7 enabling selection of one of a plurality of display modes, wherein the  
8 plurality of display modes comprises a coupon mode.

1 83. The method of claim 82, wherein the plurality of display modes further  
2 comprises a non-coupon mode and a stored coupon mode.

1 84. The method of claim 82, wherein the step of providing an alert  
2 comprises providing at least one alert to a viewer when at least one coupon is  
3 available, wherein the at least one alert comprises a tone and at least one  
4 displayed mark.

1 85. The method of claim 84, wherein the at least one displayed mark is  
2 superimposed over the broadcast of a program on the display, wherein the at  
3 least one displayed mark comprises a coupon indicator for each coupon  
4 available.

1 86. The method of claim 81, wherein the method further comprises the  
2 step of enabling a request for the coupon information, wherein enabling a  
3 request comprises selecting a coupon indicator corresponding to an item in  
4 which the viewer is interested, wherein selecting the coupon indicator results  
5 in the display of detailed coupon information.

1 87. The method of claim 81, wherein the step of displaying comprises  
2 superimposing the coupon information over the program data on the  
3 display.

1 88. The method of claim 81, wherein the step of displaying comprises  
2 displaying the coupon information on a portion of the display, wherein the  
3 portion of the display is selectable by a viewer.

1 89. The method of claim 81, wherein the coupon information is  
2 transmitted simultaneously with the broadcast of a program.

1 90. The method of claim 81, wherein the coupon information is  
2 transmitted prior to the broadcast of a program.

1 91. The method of claim 81, wherein the method further comprises the  
2 step of transmitting timing data that links the coupon information to the  
3 corresponding program.

1 92. The method of claim 81, wherein the program comprises live  
2 television programs, prerecorded television programs, live television  
3 commercials, prerecorded television commercials, movies, and pay-per-view  
4 programming.

## ABSTRACT OF THE DISCLOSURE

Electronic coupons are provided for items comprising products and services. The coupon information is received along with broadcasts of associated television programs. Selected coupon modes alert a viewer when coupon information is available for an item. The viewer alert comprises displayed marks superimposed over the broadcast of the television program. The displayed marks comprise indicators for each item for which coupon data is available, and the indicators may be representative of the items to which the indicators correspond. The coupon information for a particular item is selected when the viewer selects the indicator corresponding to the item in which the viewer is interested. Upon selection, the coupon information is displayed along with the broadcast of the currently selected television program. Coupon information may be selected for storage on a removable recording medium along with data corresponding to the television program with which the coupon information is associated. The coupon information is redeemed by the viewer by a merchandise retailer or dealer.

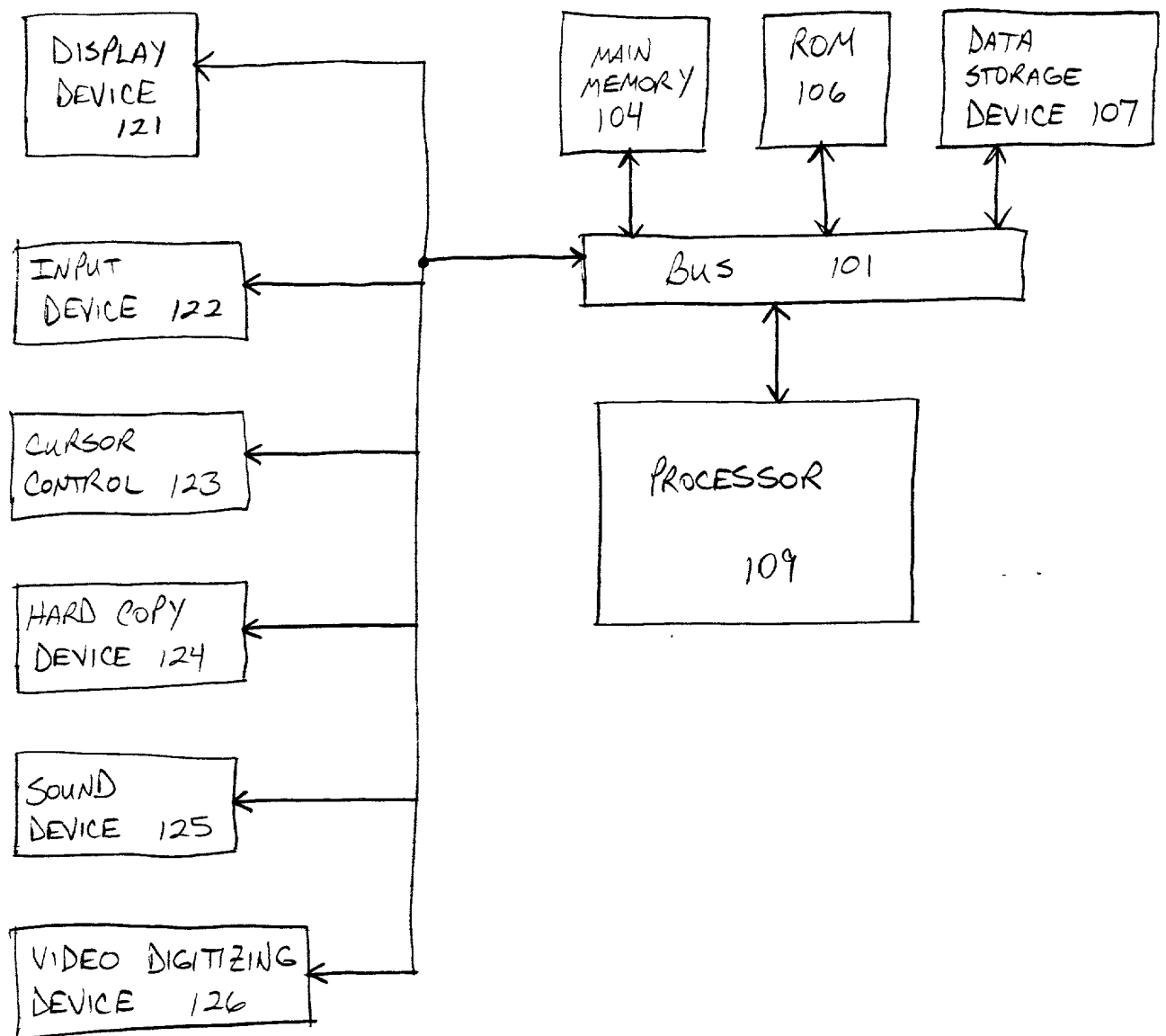


FIGURE 1

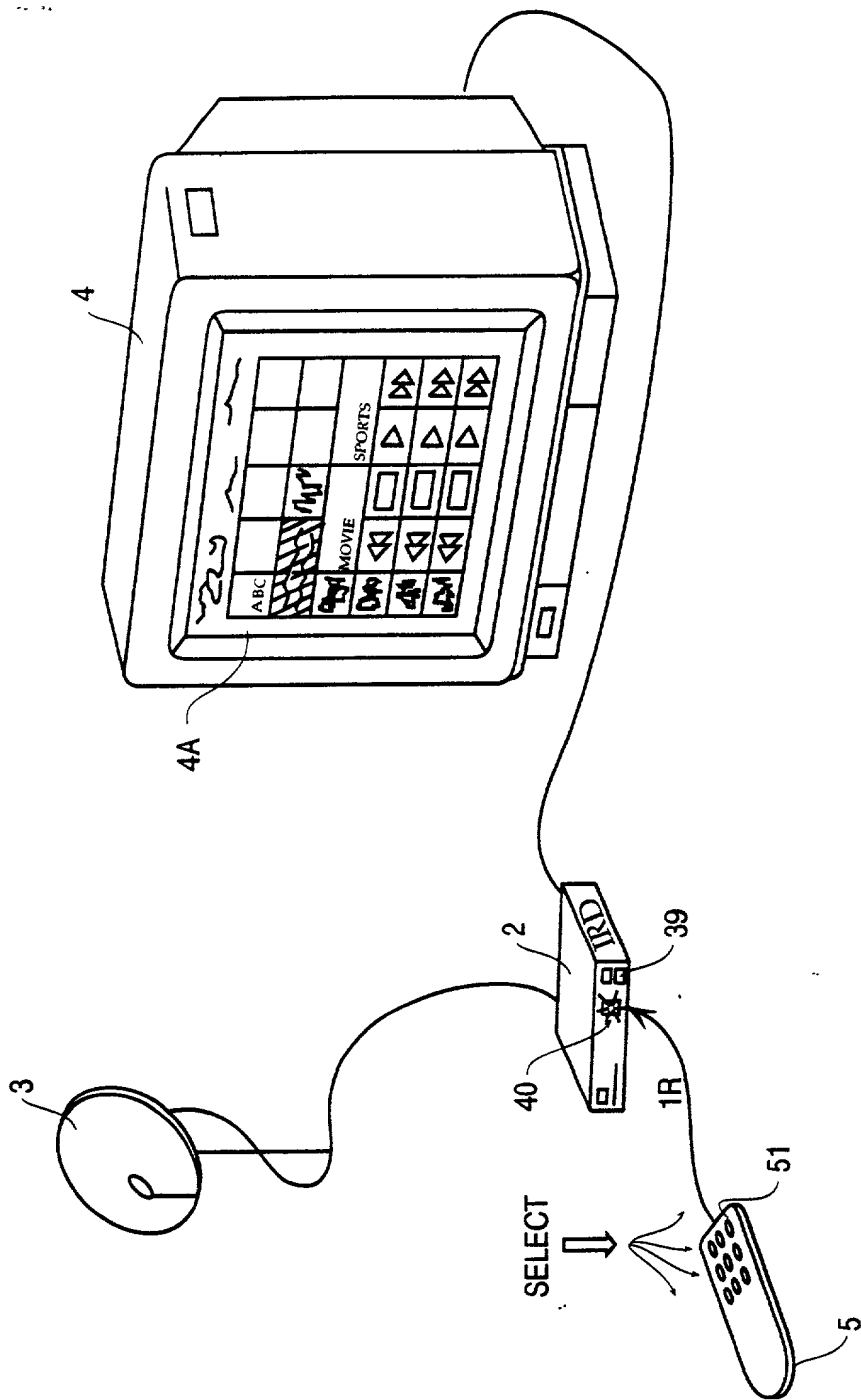


FIGURE 2



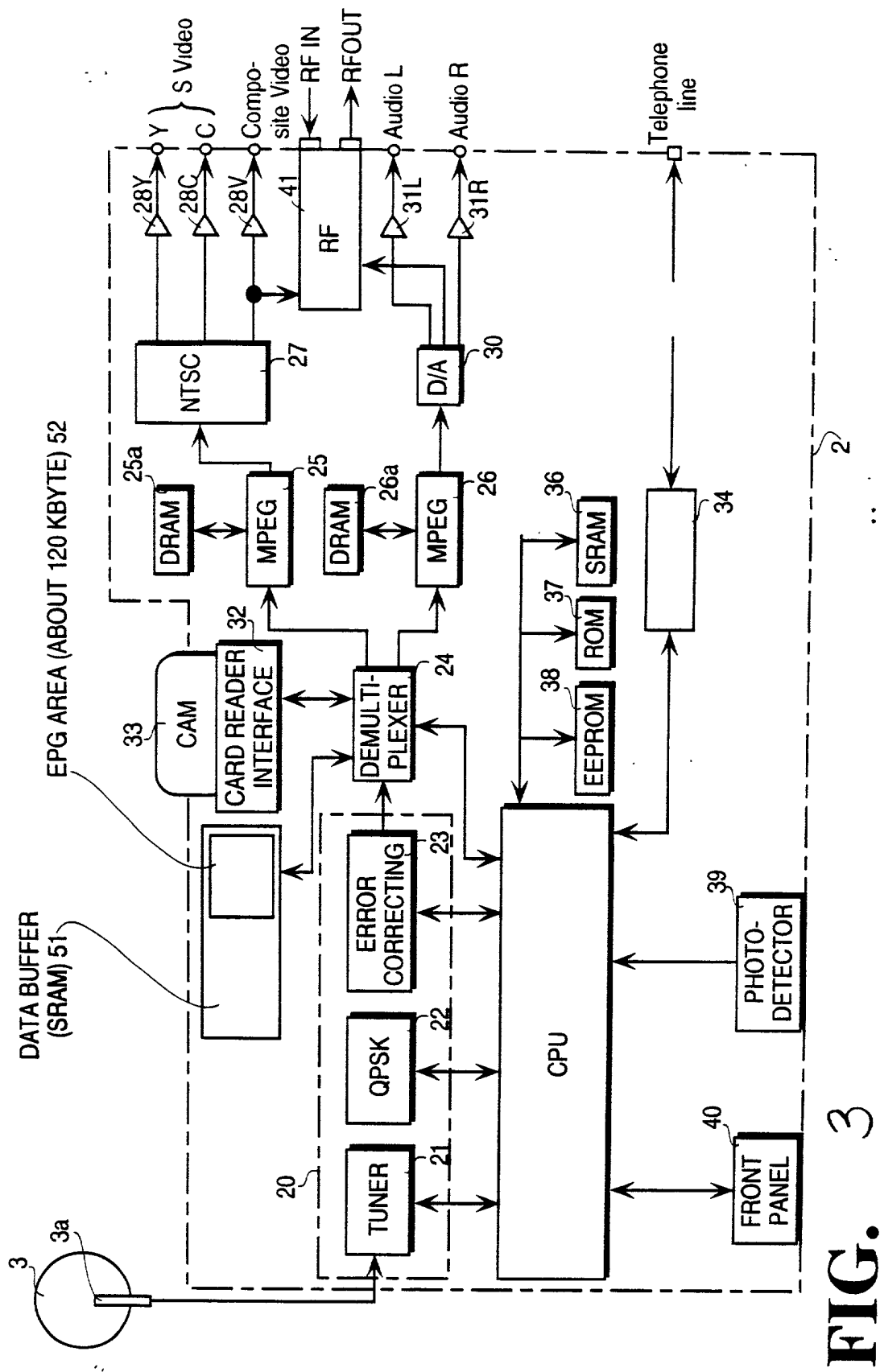


FIG. 3

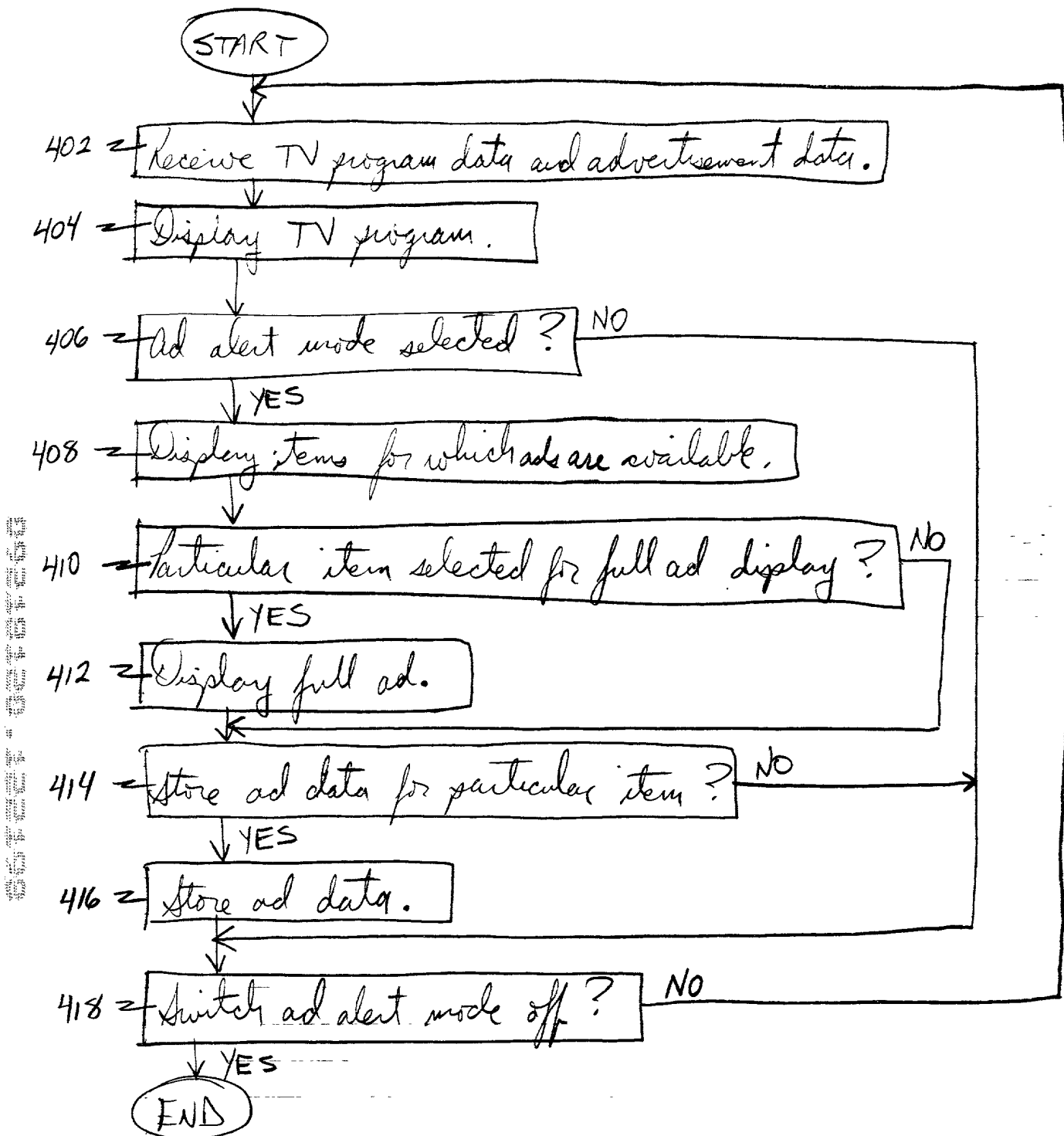


FIGURE 4

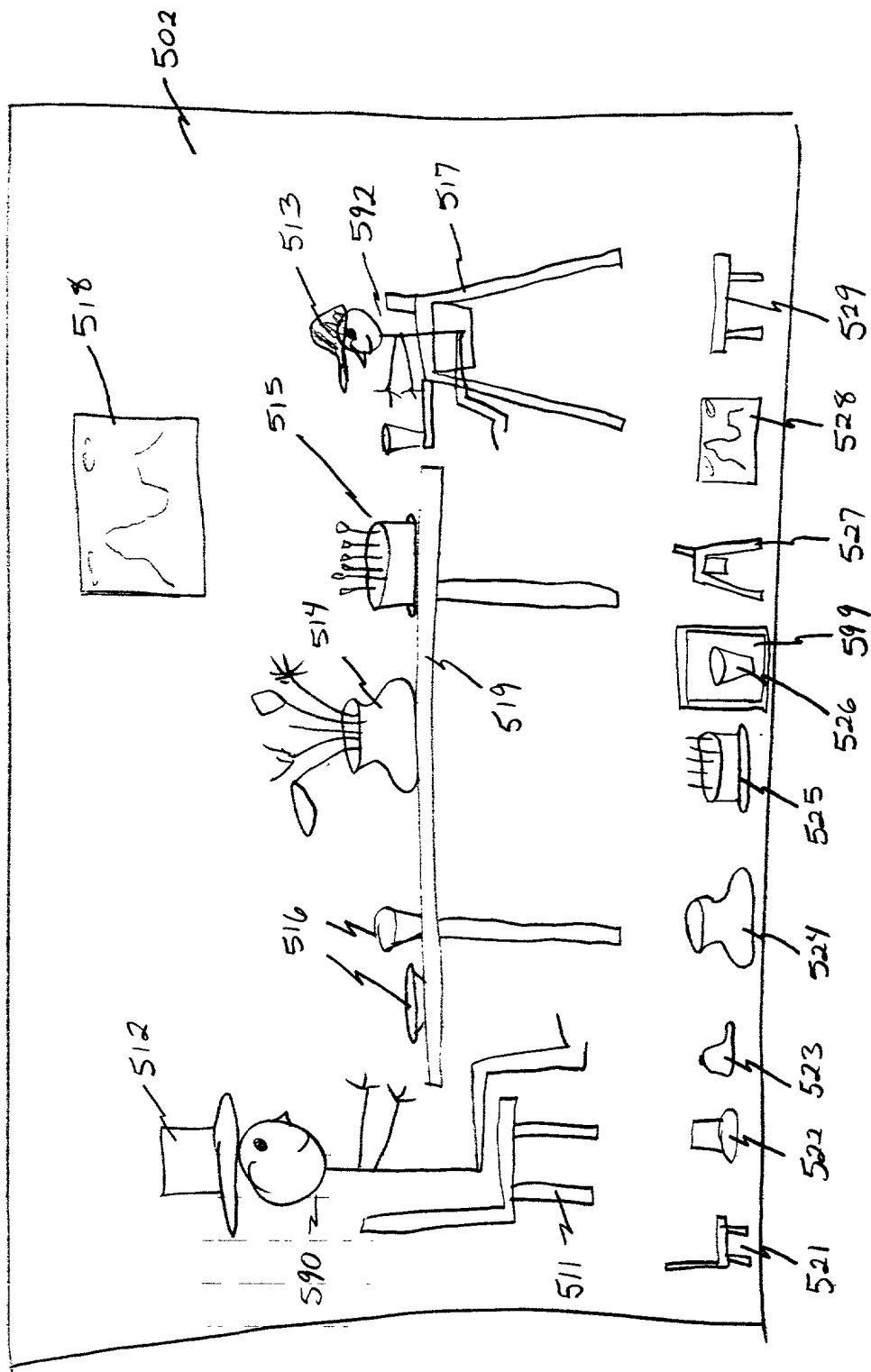


FIGURE 5

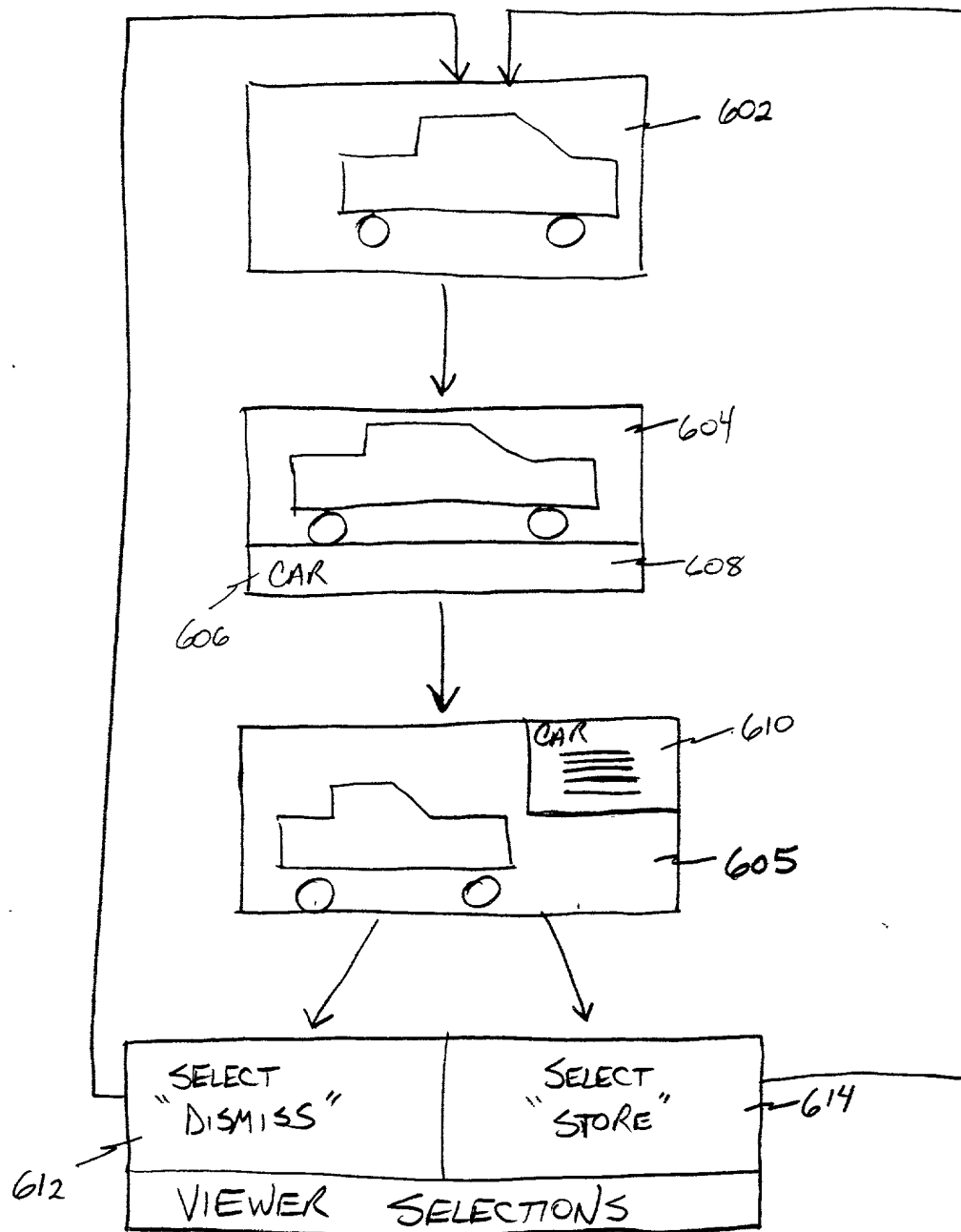


FIGURE 6

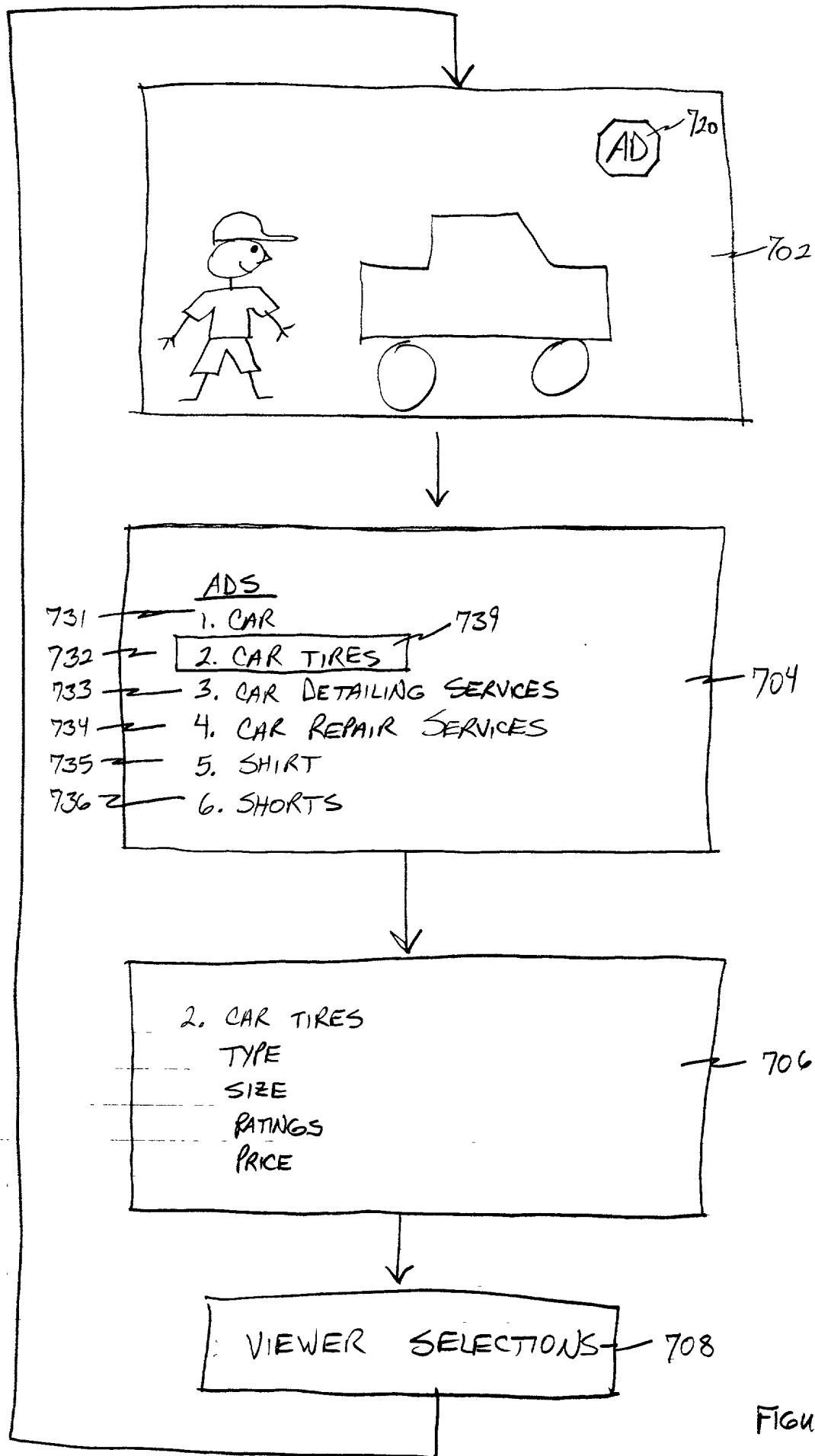


FIGURE 7

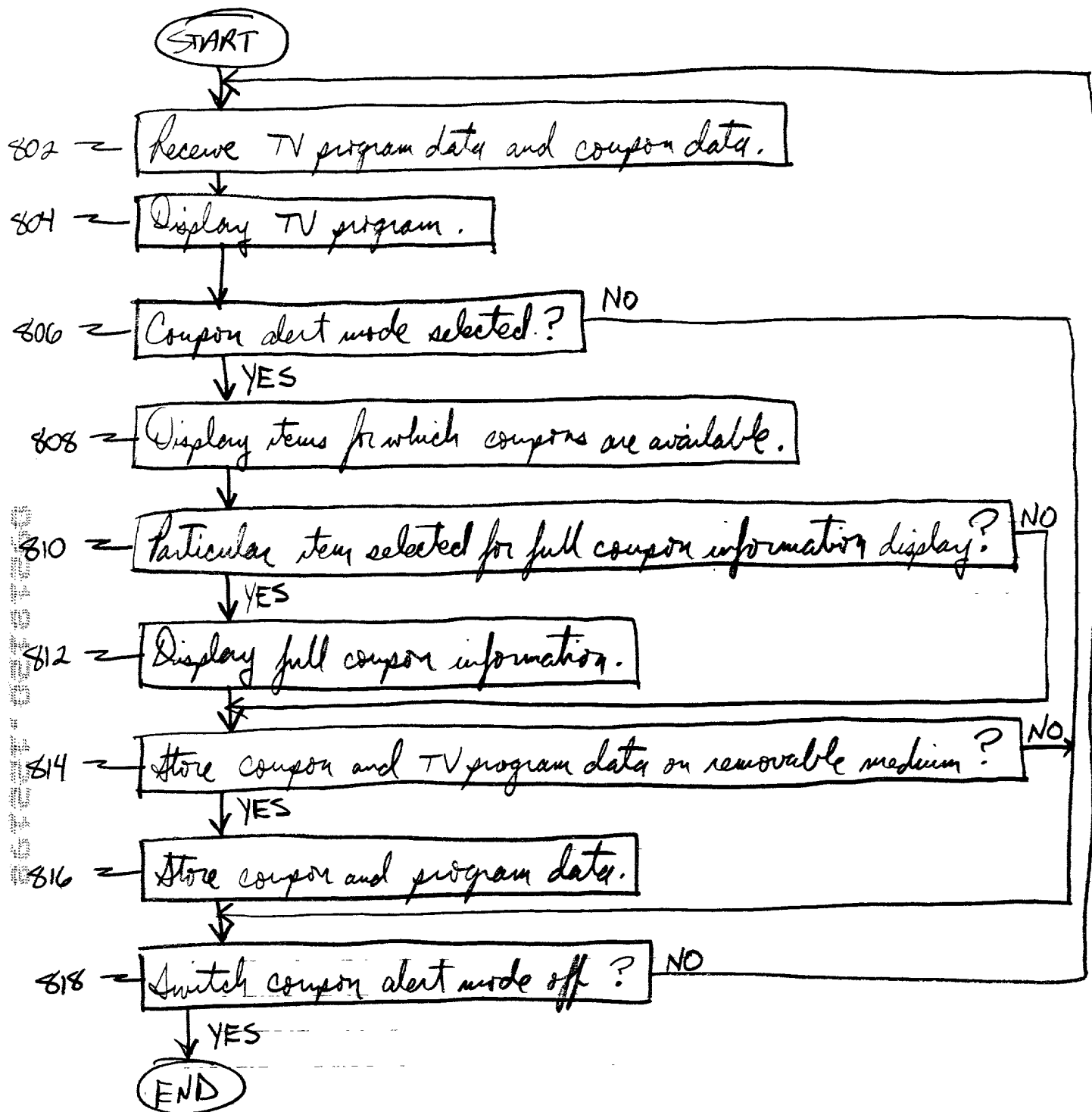


FIGURE 8

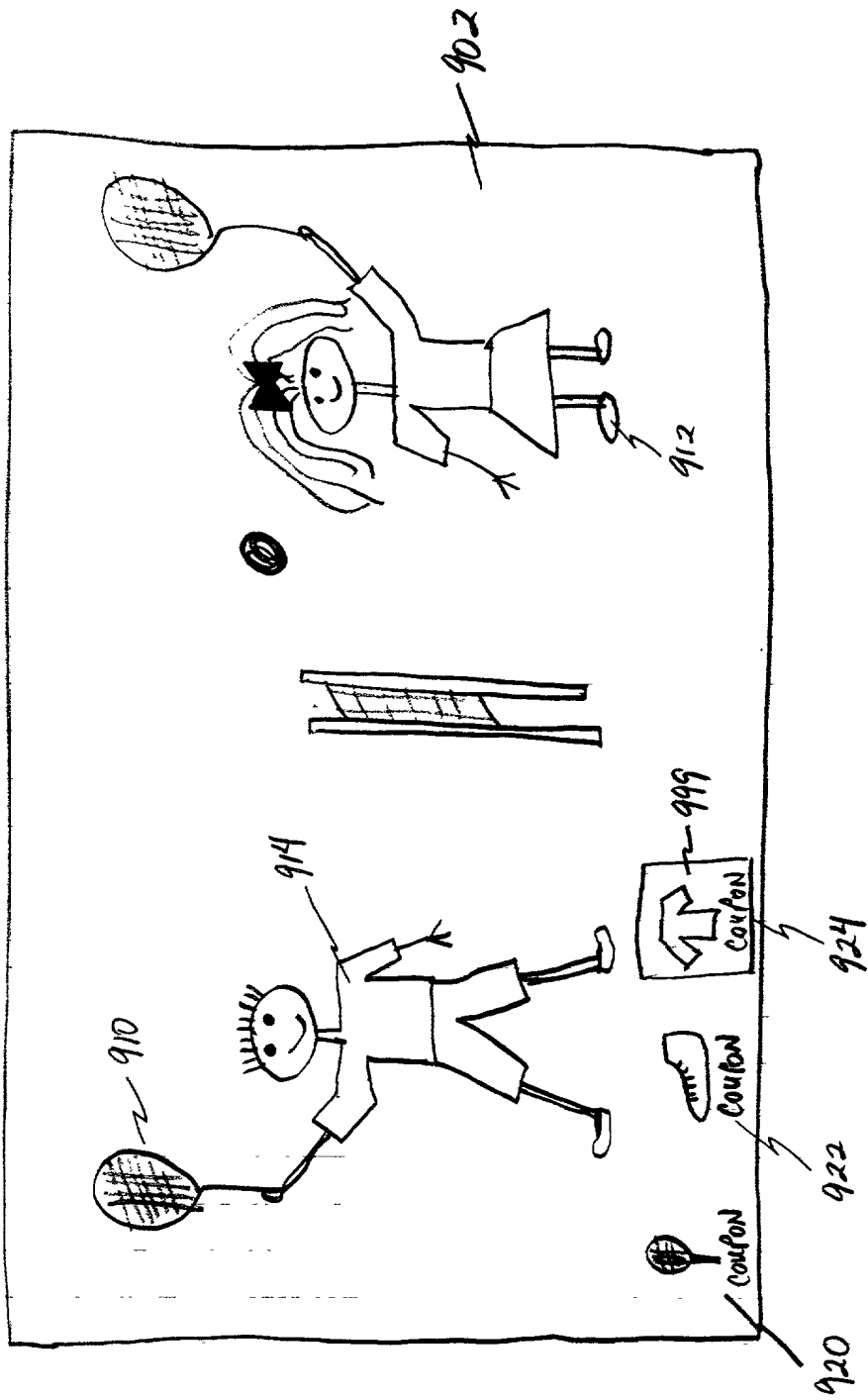


FIGURE 9

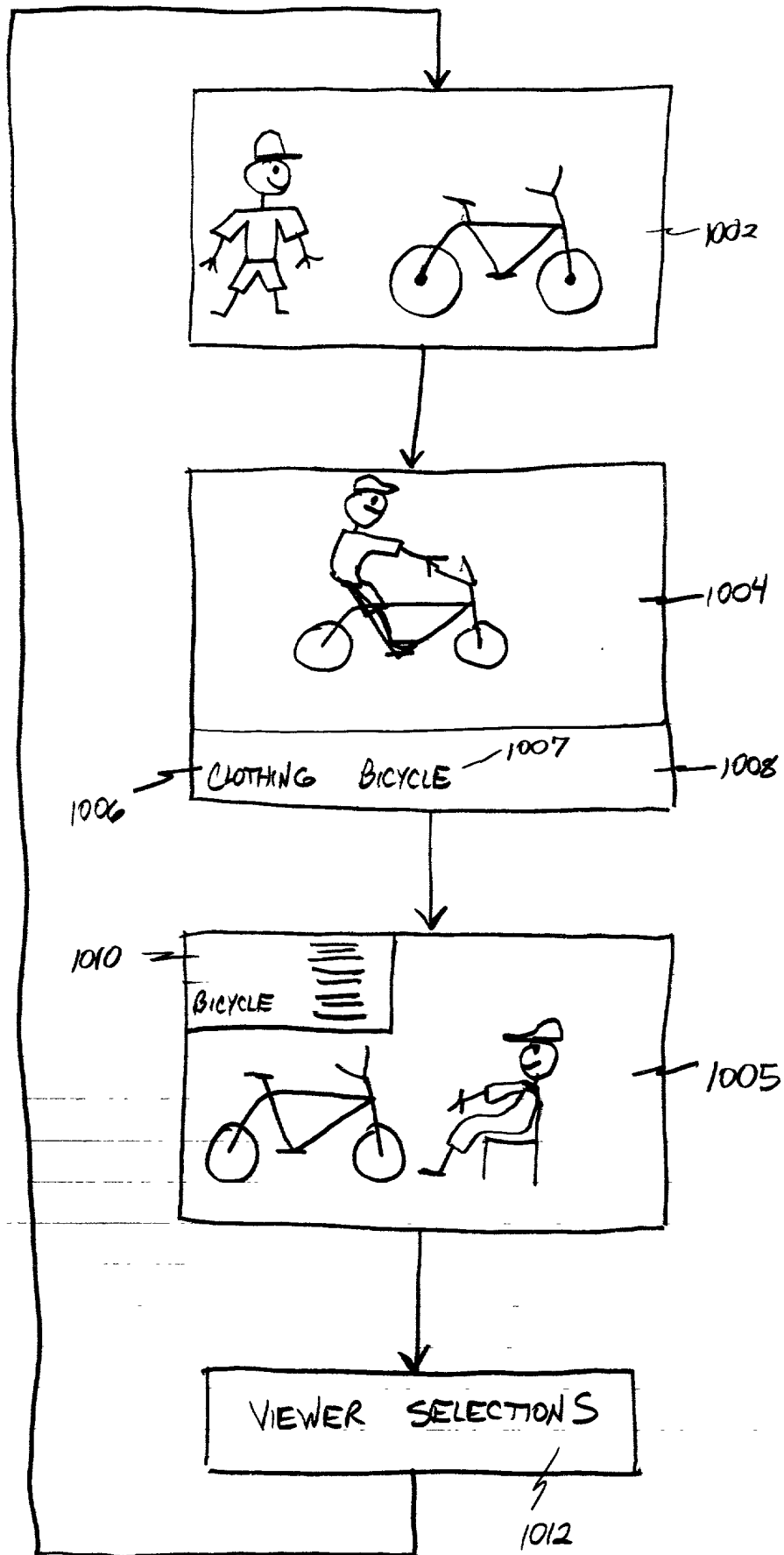


FIGURE 10



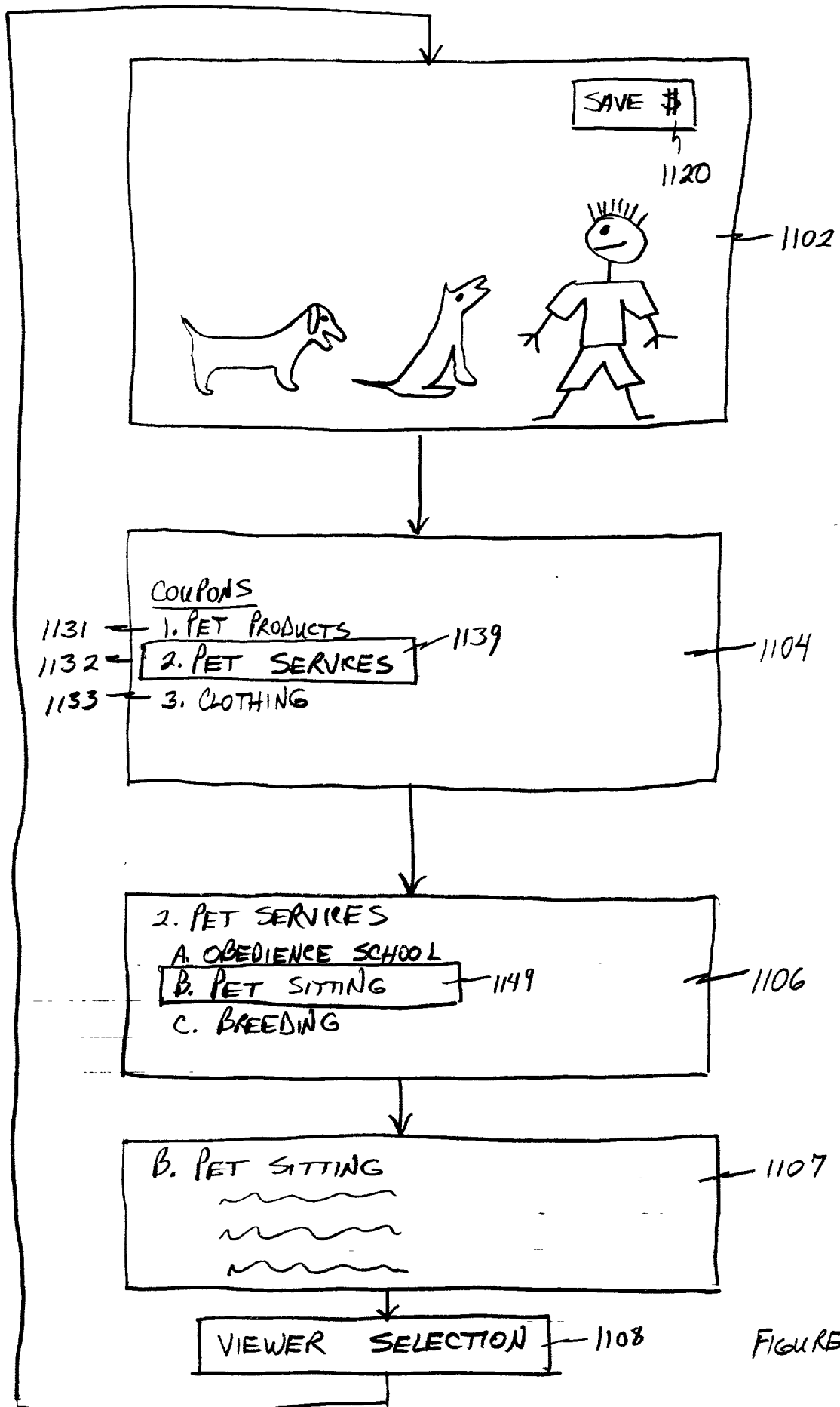


FIGURE 11

Attorney's Docket No.: 80398.P160

Patent

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below, next to my name.

I believe I am the original, first, and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**A METHOD AND APPARATUS FOR PROVIDING ELECTRONIC COUPONS**

the specification of which

XX is attached hereto.  
\_\_\_\_\_ was filed on \_\_\_\_\_ as  
United States Application Number \_\_\_\_\_  
or PCT International Application Number \_\_\_\_\_  
and was amended on \_\_\_\_\_  
(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred to above. I do not know and do not believe that the claimed invention was ever known or used in the United States of America before my invention thereof, or patented or described in any printed publication in any country before my invention thereof or more than one year prior to this application, that the same was not in public use or on sale in the United States of America more than one year prior to this application, and that the invention has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months (for a utility patent application) or six months (for a design patent application) prior to this application.

I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d), of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

Priority  
Claimed

|                   |                    |                                 |              |             |
|-------------------|--------------------|---------------------------------|--------------|-------------|
| _____<br>(Number) | _____<br>(Country) | _____<br>(Day/Month/Year Filed) | _____<br>Yes | _____<br>No |
| _____<br>(Number) | _____<br>(Country) | _____<br>(Day/Month/Year Filed) | _____<br>Yes | _____<br>No |
| _____<br>(Number) | _____<br>(Country) | _____<br>(Day/Month/Year Filed) | _____<br>Yes | _____<br>No |

I hereby claim the benefit under title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below

|                               |                      |
|-------------------------------|----------------------|
| _____<br>(Application Number) | _____<br>Filing Date |
| _____<br>(Application Number) | _____<br>Filing Date |

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

|                               |                      |  |
|-------------------------------|----------------------|--|
| _____<br>(Application Number) | _____<br>Filing Date | _____<br>(Status -- patented,<br>pending, abandoned) |
| _____<br>(Application Number) | _____<br>Filing Date | _____<br>(Status -- patented,<br>pending, abandoned) |

I hereby appoint Farzad E. Amini, Reg. No. P42,261; Aloysius T. C. AuYeung, Reg. No. 35,432; Amy M. Armstrong, Reg. No. 42,265; William Thomas Babbitt, Reg. No. 39,591; Carol F. Barry, Reg. No. 41,600; Jordan Michael Becker, Reg. No. 39,602; Bradley J. Berezna, Reg. No. 33,474; Michael A. Bernadieu, Reg. No. 35,934; Roger W. Blakely, Jr., Reg. No. 25,831; Gregory D. Caldwell, Reg. No. 39,926; Kent M. Chen, Reg. No. 39,630; Lawrence M. Cho, Reg. No. 39,942; Yong S. Choi, Reg. No. P43,324; Thomas M. Coester, Reg. No. 39,637; Roland B. Cortes, Reg. No. 39,152; Barbara Bokanov Courtney, Reg. No. 42,442; Michael Anthony DeSanctis, Reg. No. 39,957; Daniel M. De Vos, Reg. No. 37,813; Robert Andrew Diehl, Reg. No. 40,992; Tarek N. Fahmi, Reg. No. 41,402; James Y. Go, Reg. No. 40,621; Richard Leon Gregory, Jr., Reg. No. 42,607; Dinu Gruia, Reg. No. P42,996; David R. Halvorson, Reg. No. 33,395; Thomas A. Hassing, Reg. No. 36,159; Phuong-Quan Hoang, Reg. No. 41,839; Willmore F. Holbrow III, Reg. No. P41,845; George W. Hoover II, Reg. No. 32,992; Eric S. Hyman, Reg. No. 30,139; Dag H. Johansen, Reg. No. 36,172; William W. Kidd, Reg. No. 31,772; Michael J. Mallie, Reg. No. 36,591; Andre L. Marais, under 37 C.F.R. § 10.9(b); Paul A. Mendonsa, Reg. No. 42,879; Darren J. Milliken, Reg. No. 42,004; Thinh V. Nguyen, Reg. No. 42,034; Kimberley G. Nobles, Reg. No. 38,255; Michael A. Proksch, Reg. No. 43,021; Babak Redjaian, Reg. No. 42,096; James H. Salter, Reg. No. 35,668; William W. Schaal, Reg. No. 39,018; James C. Scheller, Reg. No. 31,195; Anand Sethuraman, Reg. No. P43,351; Charles E. Shemwell, Reg. No. 40,171; Maria McCormack Sobrino, Reg. No. 31,639; Stanley W. Sokoloff, Reg. No. 25,128; Allan T. Sponseller, Reg. No. 38,318; Judith A. Szepesi, Reg. No. 39,393; Vincent P. Tassinari, Reg. No. 42,179; Edwin H. Taylor, Reg. No. 25,129; George G. C. Tseng, Reg. No. 41,355; Lester J. Vincent, Reg. No. 31,460; John Patrick Ward, Reg. No. 40,216; Stephen Warhola, Reg. No. 43,237; Charles T. J. Weigell, Reg. No. 43,398; Ben J. Yorks, Reg. No. 33,609; and Norman Zafman, Reg. No. 26,250; my attorneys, and James A. Henry, Reg. No. 41,064; Daniel E. Ovanezian, Reg. No. 41,236; Glenn E. Von Tersch, Reg. No. 41,364; and Chad R. Walsh, Reg. No. 43,235; my patent agents, of BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP, with offices located at 12400 Wilshire Boulevard, 7th Floor, Los Angeles, California 90025, telephone (310) 207-3800, and James R. Thein, Reg. No. 31,710, my patent attorney; with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith.

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Title 37, Code of Federal Regulations, Section 1.56  
Duty to Disclose Information Material to Patentability

(a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is cancelled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is cancelled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:

- (1) Prior art cited in search reports of a foreign patent office in a counterpart application, and
- (2) The closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.

(b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and

- (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
- (2) It refutes, or is inconsistent with, a position the applicant takes in:
  - (i) Opposing an argument of unpatentability relied on by the Office, or
  - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

(c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:

- (1) Each inventor named in the application;
  - (2) Each attorney or agent who prepares or prosecutes the application; and
  - (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.